

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MASSACHUSETTS

IN RE:	)	
	)	No. 04-10981-PBS
NEURONTIN MARKETING, SALES PRACTICES,	)	MDL No. 1629
AND PRODUCTS LIABILITY LITIGATION	)	
-----	)	
This document relates to:	)	
KAISER FOUNDATION HEALTH PLAN, et al,	)	
	)	
Plaintiffs	)	
	)	
-V-	)	No. 04-10739-PBS
	)	Pages 1 - 158
PFIZER, INC., et al,	)	
	)	
Defendants	)	

JURY TRIAL - DAY SIXTEEN

BEFORE THE HONORABLE PATTI B. SARIS  
UNITED STATES DISTRICT JUDGE

United States District Court  
1 Courthouse Way, Courtroom 19  
Boston, Massachusetts  
March 15, 2010, 8:54 a.m.

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OFFICIAL COURT REPORTERS  
United States District Court  
1 Courthouse Way, Room 7200  
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## I N D E X

## WITNESS

## DIRECT

## CROSS

## REDIRECT

## RECROSS

ROBERT GIBBONS

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ANTHONY ROTHSCHILD

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P R O C E E D I N G S

THE COURT: As I know you've heard, we have a problem. One of the jurors called in sick. What do you want to do?

MR. SOBOL: We'd like to continue again tomorrow, your Honor, defer today.

MR. CHEFFO: Well, I think, as your Honor knows, we have Dr. Gibbons here today, and we had made it clear throughout that this was his day. So I guess our proposal -- we're not pleased as your Honor, I'm sure the plaintiffs even, about dismissing jurors, so I see perhaps maybe two options, one pragmatic and doable. One is to dismiss the juror, which is certainly not the best option. The other option would be, why don't we have Dr. Gibbons testify today, and we can have the juror read the transcript.

THE COURT: Would that be acceptable?

MR. SOBOL: No.

MR. CHEFFO: I mean, I don't understand that. We basically said, your Honor, that -- you know, we've told them about this. They initially --

THE COURT: You have some scheduling problems with Mr. --

MR. CHEFFO: And tomorrow we have -- you know, again, I'm not casting blame at this point, but we were initially told they would be done last Monday, then Tuesday, then Wednesday, then Thursday, then Friday, and every day we've --

1 THE COURT: I'm inclined to dismiss the juror.  
2 Otherwise he's going to lose this witness. We'd still have  
3 seven.

4 MS. NUSSBAUM: Can we have a minute to talk, your  
5 Honor?

6 THE COURT: I'm either going to dismiss, or we're  
7 going to have to agree -- it's civil -- on having her read the  
8 testimony.

9 MS. NUSSBAUM: Can we have one minute to discuss that?

10 THE COURT: Yes.

11 (Pause.)

12 MS. NUSSBAUM: Thank you, your Honor.

13 THE COURT: What do you want to do?

14 MS. NUSSBAUM: We'll agree that she can read the  
15 transcript.

16 THE COURT: Okay. My basic view is, if you can agree  
17 to waive your Miranda rights and Speedy Trial, you can agree to  
18 waive anything. So you waive?

19 MS. NUSSBAUM: Yes, your Honor.

20 THE COURT: You?

21 MR. CHEFFO: Yes, your Honor.

22 THE COURT: All right, so the other issue is that  
23 another juror is running late because of the weather, so we'll  
24 just have to adjust for that. As far as the transcript goes --  
25 well, I'll back up. Should we start with Mr. Gibbons,

1 Professor Gibbons, Dr. Gibbons?

2 MR. CHEFFO: Probably all three. All three of those,  
3 your Honor, I think would probably be fine.

4 THE COURT: Should we start with him?

5 MR. CHEFFO: That was our plan. As you recall, we --

6 THE COURT: We have a little bit of a deposition left.

7 MR. CHEFFO: We had a little bit of a deposition. It  
8 will probably take five minutes. Last night they were supposed  
9 to give another thirty-minute deposition. They told us last  
10 evening at about 8:00 o'clock that they're now not going to use  
11 the thirty minutes.

12 THE COURT: All right, that's fine. So good. Are you  
13 going to rest, short of the documents?

14 MR. SOBOL: Yes. I mean, we'll talk about that  
15 formally on the record at the -- unless you want us to do it  
16 right now.

17 THE COURT: While we're waiting for this juror, let's  
18 do this. I mean, we're about to do this, right? You have  
19 nobody else and no other depositions, right?

20 MR. SOBOL: Right.

21 THE COURT: You have what, ten minutes of a deposition  
22 left?

23 MR. CHEFFO: Probably less. I'm sorry.

24 MR. SOBOL: Thank you. We have to finish the Glanzman  
25 deposition, number one. Number two, there are two books,

1 Book 2 and Book 3, that the Court has sub judice.

2 Number three, there were three exhibits during the  
3 direct examination of the Kaiser witnesses that were admitted  
4 only as to certain pages, but we want to make sure that the  
5 entirety of the documents go in. Those are Exhibits --

6 THE COURT: Can we just do this one by one?

7 MR. SOBOL: Sure.

8 THE COURT: So I read the lengthy memo over the  
9 weekend, since there was nothing else to do on such a very  
10 rainy weekend. It was either that or Wolf Hall for my book  
11 group. So I did read your memo. He basically pulled in -- I  
12 didn't go check one by one against the documents -- he says,  
13 every document into his claims of enterprise. So unless you  
14 say that there's something that he hasn't referenced or is  
15 inappropriately referenced, I'd be inclined to allow in the  
16 documents.

17 MR. CHEFFO: Well, I mean, I guess, to the extent  
18 that, you know, I didn't find every single document, that  
19 wasn't necessarily our objection. If they say that they're  
20 referencing them, they're relying on them, even though we have  
21 other objections to the entire enterprise, you know, we think  
22 that it would be prejudicial. It's a document dump. You know,  
23 I think your Honor had said at the beginning of the trial that  
24 they need to authenticate. And just so we're clear, these  
25 wouldn't have all been documents, I think your Honor

1 recognized, just if you had Lloyd Knapp on the stand, you would  
2 have just been able to go, like -- you wouldn't have allowed  
3 that in because he wouldn't have seen the vast majority of  
4 those. There's no proffer of that. And essentially, again,  
5 you know, our position has been that they've had, you know,  
6 years --

7 THE COURT: Excuse me. But as I understand it,  
8 though, they are all authenticate and business records, and  
9 it's a 403 objection on a document dump theory. And that's why  
10 I forced them to write the memo, to link it in. Now, I have to  
11 admit I didn't sit and correlate every page of every document  
12 with every quote. I'm assuming there might be parts that you'd  
13 move to strike or sanitize or whatever, but --

14 MR. CHEFFO: I mean, that's the problem, your Honor.  
15 You know, again, this has been our position all along. Let's  
16 put aside authenticity and business record, okay? The real  
17 issue here is that I think your Honor has said, you know,  
18 appropriately a few times, one is, you're not going to allow  
19 document dumps. Two, certainly if you can't read the document,  
20 it's absolutely clear -- that was the second position -- they  
21 don't come in. So, you know, now we're going to have a  
22 situation where we're going to hear about these documents for  
23 the first time, your Honor, you know when? In closing  
24 argument. That's what they're going to do. We're not going to  
25 have a chance to even respond to --



1 THE COURT: That's their problem actually.

2 MR. CHEFFO: But it's our problem too, I mean, because  
3 what I suspect they're planning on doing is taking this entire  
4 binder which no one's ever heard of, and they're going to tell  
5 a different story to the jury in closing argument than any of  
6 us have heard in this entire trial.

7 THE COURT: Well, that's not accurate either because  
8 these depositions have been talking about Cline Davis and MAC,  
9 so essentially as I see it, these documents document that  
10 relationship, so it's not a complete -- well, it's not a  
11 surprise at all. But let just say, I'm going to allow it in,  
12 short of your moving to strike certain sections of them. I  
13 mean, I haven't gone through all the documents. Some of them  
14 were quite thick, and if there's only just one snippet of a  
15 quote from it, it may be inappropriate to put everything in. I  
16 don't know, and it would be subject to your moving to strike  
17 sections of them. You know, in other words, if there's a  
18 three-page e-mail chain and they've only quoted from part of  
19 it, if you wanted to, I suppose I could strike the rest of it.  
20 But short of sanitizing them, I'm going to allow them in,  
21 subject to somebody in your team telling me something wasn't  
22 referenced, because they put quotes from each one of them in  
23 the footnotes is what they did, which seemed pertinent. That's  
24 what I did read for, so --

25 MR. CHEFFO: We will go through them, your Honor.

1           The other thing that I would ask then is, pursuant to  
2 Rule 106, in case your Honor had ruled that way, we prepared a  
3 binder. Under the completeness rule, we basically think that  
4 if those are going to come in, that these need to also be in  
5 the record.

6           THE COURT: Have you shown --

7           MR. CHEFFO: Yes.

8           THE COURT: That seems fair, if they're authenticate  
9 and they're business records and they're tied into that. So  
10 you'd have to explain it to me why they are. That's what I  
11 forced them to do essentially is give me the quote from every  
12 document that proves up what you're talking about, and if you  
13 do it for me, what's fair for them is fair for you.

14          MR. CHEFFO: Okay, your Honor.

15          THE COURT: And also I allowed under 1006, the summary  
16 rule, each one of you to just give a list of the documents that  
17 you're submitting that way so that they can have that sense of  
18 it, but not the quotes. But I'm allowing stickies, that's how  
19 I'm resolving this, so that each side will go right to the area  
20 that you think is relevant on both sides.

21          MR. CHEFFO: Okay, and we have that message. We  
22 haven't done this yet, but I fear we're going to wind up with  
23 more Post-Its on these documents than anyone has ever seen in  
24 the universe, but we'll find that out in a few minutes.

25          THE COURT: If it's ridiculous, then I'll --

1 MR. CHEFFO: I just think that that's what's going to  
2 happen when you have these documents that no one's testified  
3 to, they're going to put them in, everybody's going to have --

4 THE COURT: They for the most part only have like one  
5 quote per document.

6 MR. CHEFFO: If we're going to sanitize the rest of  
7 the documents, that will make things a lot easier, if that's  
8 all they're going to put them in for.

9 THE COURT: If we want to go through that. Either  
10 that or we'll just put a sticky next to the quote that you're  
11 relying on for both sides.

12 In any event, there we are. What's the next one?

13 MR. SOBOL: Before we move away from that, your Honor,  
14 the defendants did give me their list this morning, so we will  
15 go through it, but I just haven't had an opportunity to react  
16 to it. We do have to finish the Glanzman deposition. And  
17 then, third, there were three exhibits admitted during the  
18 testimony of Kaiser witnesses in which I think the record might  
19 indicate that only the particular page that was being discussed  
20 at that particular time was admitted, but we think that the  
21 entirety of these documents ought to go in. Those are  
22 Exhibits --

23 THE COURT: There are only what? Say it again.

24 MR. SOBOL: During the testimony there was an  
25 objection that said, "Objection unless it's just to the page,"

1 and so during the testimony of the Kaiser witness, we fear that  
2 only that page of the document has been admitted in evidence.  
3 I'll give as an example --

4 THE COURT: Have you two talked about this? Why don't  
5 you talk to them about it.

6 MR. CHEFFO: We haven't talked yet about it. I don't  
7 even know what these documents are, frankly.

8 THE COURT: Why don't you talk about it and then --

9 MS. NUSSBAUM: This was with the first witness, your  
10 Honor. At that time -- this was the very first witness -- you  
11 said, rather than having side bars on documents, you know, it  
12 would be subject to later on. After that, the Court started  
13 letting in whole documents, every document came in with every  
14 witness. This was just with the first witness, when we started  
15 putting in documents, there were objections.

16 THE COURT: Why don't you just confer.

17 MS. NUSSBAUM: We'll confer. We'll tell them which  
18 documents --

19 THE COURT: It's not going to make a difference for a  
20 directed verdict issue.

21 MS. NUSSBAUM: Okay.

22 MR. SOBOL: No, I'm just trying to clean house. Then  
23 the final --

24 MS. NUSSBAUM: Just say the document numbers, and then  
25 they can get back to us.

1 THE COURT: There was one document we were waiting to  
2 hear whether or not you had received in discovery and didn't  
3 mark as an exhibit, the "snake oil" exhibit.

4 MR. SOBOL: Yes, the Wahlberg document -- we submitted  
5 something to you, your Honor -- was not produced to us during  
6 discovery, which is why it wasn't on our exhibit list.  
7 Apparently it was produced to the personal injury claimants by  
8 Skadden earlier this year, and we, the plaintiffs in this case,  
9 found out about it less than a week ago.

10 THE COURT: I understand, but did you receive it in  
11 discovery? You've checked?

12 MR. SOBOL: Yes, we checked, and we did not.

13 MR. CHEFFO: We filed, and I think I handed it up this  
14 morning, your Honor, filed ECF. I mean, I can just tell you  
15 briefly. The issue there, there's separate issues with respect  
16 to the personal injury cases, that this was after there was a  
17 motion made, and a ruling both by Judge Sorokin and the parties  
18 agreeing, we produced some documents. The real issue here,  
19 your Honor, is that this is a document that predates the  
20 merger, okay, so they're trying to use it as a business record  
21 or a statement by a party, but at the time, this document is a  
22 1999 document by a -- who was a competitor of --

23 THE COURT: By Pfizer, though.

24 MR. CHEFFO: But at the time they didn't own  
25 Warner-Lambert/Parke-Davis. But, your Honor, the issue is, if

1 someone's saying something, a party against interest, at this  
2 point there were comments like if Ford is commenting on GM --

3 THE COURT: It's just an admission. It doesn't have  
4 to be against interest. It's a statement of a party opponent.

5 MR. CHEFFO: But they weren't a party opponent at the  
6 time.

7 THE COURT: Of course not. Of course they weren't.  
8 The suit hadn't been -- no, I'm going to allow it in if it  
9 wasn't produced in discovery. I'm not faulting you. Now,  
10 maybe it didn't fall within the parameters of Leo Sorokin's  
11 discovery orders. I'm not imposing a sanction, but I've held  
12 them very strictly, actually, to what exhibits were on the list  
13 and what weren't, what wasn't, I think with one exception  
14 everyone agreed on was a predecessor document or something like  
15 that. So, I mean, if it wasn't produced, that's why it wasn't  
16 on the exhibit list, and it falls under the party admissions  
17 standard. So I'm allowing it in.

18 So now what else? What else is there now?

19 MS. NUSSBAUM: Just to clarify the record, all three  
20 exhibits where only pages were put in were in Mr. Carrejo's  
21 testimony. It was 250, 352, and 355, and we will confer with  
22 the defendants.

23 THE COURT: Yes, just confer, yes. But it won't be  
24 relevant to directed verdict issues, right?

25 MS. NUSSBAUM: Well, we should confer on a break and

1 hopefully resolve it today.

2 THE COURT: And then Glanzman finishes, and then you  
3 rest. So you'll come up. Have you filed your memo yet?

4 MR. CHEFFO: No. They haven't rested yet, so --

5 THE COURT: No, no, I know, but --

6 MR. CHEFFO: As soon as --

7 MR. SOBOL: Can we say it?

8 MR. CHEFFO: My turn now.

9 MR. SOBOL: We rest subject to finishing Glanzman.  
10 Thank you, your Honor.

11 MR. CHEFFO: And we move under Rule 50. We have --  
12 you know, as soon as they finish that, people are standing by,  
13 your Honor, to file ECF, and we'll give you courtesy copies.

14 THE COURT: Just have them do it. What is the big  
15 deal? Just --

16 MR. CHEFFO: We could do it now. I mean, I thought it  
17 said under the rules you're not allowed to do it until they  
18 rest. Now they've rested, so --

19 THE COURT: Well, subject to Glanzman.

20 MR. CHEFFO: Subject to Glanzman, understood. And  
21 we're also understanding that, you know, there's no waiver  
22 here. I'm not required to --

23 THE COURT: How long is it?

24 MR. CHEFFO: We've moved on -- well, basically, as we  
25 talked about last week, there's about four or five separate

1 kind of pieces. So one is going to be on causation. One is  
2 going to be on statute of limitations. One is going to be on  
3 the injury. The other one is going to be on enterprise. Some  
4 of them are longer, some of them are a little bit shorter, but  
5 they're spelled out. So, in other words, both your Honor and  
6 counsel can respond individually as opposed to just some  
7 mammoth brief.

8 THE COURT: There are five separate briefs? How many  
9 pages does it come to?

10 MR. CHEFFO: I don't have the specifics, your Honor.

11 MR. SOBOL: Give us a guess.

12 THE COURT: A hundred pages?

13 MR. CHEFFO: No, no, no, less than 100 pages. I think  
14 the largest one is probably less than 20 pages, and then I  
15 think the statute of limitations is probably 12 pages. And  
16 we've tried not to be duplicative of repeating everything and  
17 trying to cross-reference where appropriate.

18 THE COURT: I don't know when I'll get a time to --  
19 I'll take it on the plane with me, but --

20 MR. CHEFFO: We'll file them and have them for you  
21 this morning, your Honor. We can give you copies.

22 Now, here's the other thing. If I can hand these up,  
23 these are --

24 (Discussion between the Court and Clerk.)

25 THE COURT: Do you want to go see if that juror is



1 here. There's a lot of flooding on the area roadways, for  
2 example, Storrow Drive, so it may well be that --

3 MR. CHEFFO: Your Honor, we're mindful of the rule,  
4 the 24-hour rule. We may not need it for today, but when we  
5 heard that they were dropping the thirty minutes, we thought we  
6 may have some time that we don't want to leave your Honor or  
7 the court with any wasted time. So to the extent that we do  
8 have time today, we would ask that your Honor focus, if you  
9 would, on Chandler and Day because that would give us about a  
10 half hour if we're not going to get to them. Then tomorrow we  
11 expect that we may need some rulings, if your Honor would, with  
12 respect to these depositions.

13 These are -- just to put it in context, you'll  
14 remember there was a motion in limine with respect to which  
15 doctors can be read or not, and these are primarily Kaiser  
16 doctors, both on the issue of causation and efficacy. And then  
17 there were the two doctors that you allowed in which were the  
18 two class doctors where they had an opportunity. The others we  
19 have not designated.

20 THE COURT: And are they videos, or are they read-ins?

21 MR. CHEFFO: The Kaiser folks are all video. The  
22 class people for whatever reason are read. But the class  
23 people are -- one is a total of 19 minutes, the other is a  
24 total of 12 minutes, so they're not exceptionally long.

25 MS. NUSSBAUM: With respect to the class people, your

1 Honor, we would again renew our objection and ask that if the  
2 Court determines that they're going to allow that to be read,  
3 that the jury be given a limited instruction saying that these  
4 are not PMG physicians, the patients are not Kaiser members,  
5 and they are not part of the lawsuit.

6 THE COURT: So who are they?

7 MS. NUSSBAUM: Apparently they are --

8 THE COURT: Not apparently. I mean, who are they?

9 MS. NUSSBAUM: They are the physicians of consumer  
10 class representatives in the class action. Kaiser personally  
11 did not attend. We personally never thought that these  
12 depositions would in any way be used in our case with respect  
13 to Kaiser. These were class reps.

14 THE COURT: Yes, but their depositions were taken,  
15 right? Right, so it's under oath. I mean, I'm not saying  
16 they're party admissions. I'm just saying they're --

17 MR. GREENE: They were treating physicians of two of  
18 the class reps, your Honor.

19 THE COURT: Fine, so what's -- I mean, they're just  
20 doctors. Right, I'll say they weren't Kaiser doctors.

21 MS. NUSSBAUM: Okay.

22 THE COURT: I mean, they're just --

23 MR. CHEFFO: I think they say specifically who they  
24 are. They don't represent themselves as Kaiser doctors.

25 THE COURT: Yes, but it might be confusing.

1 MS. NUSSBAUM: We want it made clear to the jury that  
2 they're not PMG doctors.

3 THE COURT: Yes, okay.

4 MR. CHEFFO: We have no objection to that, your Honor.  
5 The only other -- I guess just two other --

6 (Discussion off the record between the Court and  
7 Clerk.)

8 MR. CHEFFO: Do you want to deal with some  
9 housekeeping things now, your Honor?

10 THE COURT: We're just waiting for the juror. Why  
11 not?

12 MR. CHEFFO: Last night we received three exhibits  
13 that they want to apparently use. Now they've kind of put them  
14 as Plaintiffs' Exhibit 478, but the bottom line is, these were  
15 documents that were produced previously, and this new  
16 designation was kind of made up. These were never on their  
17 exhibit list, so --

18 THE COURT: Were they not on the list?

19 MR. SOBOL: Well, yes and no. These are reliance  
20 materials of one of their experts, so these are materials that  
21 one of their experts says, "I relied upon." They even  
22 reference them in their declaration.

23 THE COURT: Which expert?

24 MR. SOBOL: Arrowsmith-Lowe.

25 MR. CHEFFO: They were not Dr. Gibbons' reliance

1 materials.

2 MR. SOBOL: No. They're Arrowsmith --

3 THE COURT: So what, you want to show it? You're not  
4 going to put them in through him; you're just going to ask  
5 about these?

6 MR. SOBOL: Yes, I'm going to ask him questions. And  
7 then when Arrowsmith-Lowe takes the stand, I'm going to  
8 introduce them in evidence, and I want to be able to have them  
9 marked that way.

10 THE COURT: And why can't he ask questions?

11 MR. CHEFFO: No, I mean, he can ask questions. I  
12 mean, he put them as Plaintiffs' Exhibit 476, which led me to  
13 believe he was going to try to admit them.

14 MR. SOBOL: Well, and I am, but not today, just  
15 through Arrowsmith-Lowe, and I'm going to use them as a chalk  
16 today.

17 THE COURT: So he's going to do it through --

18 MR. CHEFFO: He can't publish these, though, until  
19 they're admitted. I think that's the rule that we had.

20 THE COURT: Right, but he can ask about them.

21 MR. CHEFFO: He can ask questions about it. He just  
22 can't publish or introduce.

23 THE COURT: Right.

24 MR. CHEFFO: And then with respect to the last issue,  
25 and again, your Honor, it's pretty short, but if we do have

1 some time, I --

2 THE COURT: Although can I just say just for point of,  
3 so we're just not playing a game here, is that witness going to  
4 show up?

5 MR. CHEFFO: No, actually, we're not sure that she is.  
6 She may not show up.

7 MR. SOBOL: Oh, that's new.

8 MR. CHEFFO: I've told you that all along.

9 MR. SOBOL: No. Arrowsmith-Lowe is a possibility of  
10 today or tomorrow, so I might try to put them in through  
11 Gibbons then. We've been told she's going to be here.

12 MR. CHEFFO: She may not, your Honor.

13 THE COURT: Excuse me, excuse me. Both sides, if she  
14 shows up -- this is what I don't want, this gamesmanship. If  
15 she's going to show up, I will let him put it on the screens  
16 just so it will be clearer to the jury. If she's not going to  
17 show up, then we have another issue.

18 MR. CHEFFO: I'm not going to -- we're still figuring  
19 it all out, so I'm not going to represent to the Court that  
20 she's absolutely going to show up. She may not show up. So  
21 you should be guided by that --

22 THE COURT: Is there a problem with the -- why not put  
23 it on the screen if there's at least some chance she's going to  
24 come? I'm not saying introduce it. That's his problem, but --

25 MR. CHEFFO: Well, again, for the same reasons that

1 we've just been talking about that, you know, it's kind of  
2 unfair surprise, and, you know, what's good for the goose. I  
3 mean, we couldn't show anything --

4 THE COURT: Excuse me. When was this shown to you?

5 MR. CHEFFO: It was shown to us last night at  
6 6:00 o'clock or so.

7 THE COURT: I don't know, that seems like gamesmanship  
8 because if in fact she's likely to show or fifty-fifty, I don't  
9 know, I don't have a problem. Is there any problem with it?  
10 Is it a business record? What is it?

11 MR. SOBOL: It's letters with the FDA, between Pfizer  
12 and the FDA regarding Neurontin, that their expert has as her  
13 reliance materials and which she refers to in her report.

14 THE COURT: Well, if it's --

15 MR. CHEFFO: If you're going to let him publish it,  
16 your Honor, then --

17 THE COURT: I'm going to let it be published but not  
18 introduced, and if she doesn't come, it doesn't get introduced.

19 (Discussion off the record between the Court and  
20 Clerk.)

21 THE COURT: She's not here yet.

22 MR. CHEFFO: This really I think is my last, subject  
23 to Mr. Sobol's, and, again, if we have a few minutes, your  
24 Honor, we did file a very short -- I think it's essentially a  
25 four-page brief in support of a motion to reconsider the ruling

1 with respect to Dr. Rothschild. Again, I think it says it  
2 better than I can, but --

3 THE COURT: It may be upstairs. I just walked in and  
4 came downstairs.

5 MR. CHEFFO: I have another copy. It's really very  
6 short. Again, I can tell you what it is. I think it --

7 THE CLERK: Is that what you gave me earlier, Mark?

8 MR. CHEFFO: I did. There was two issues. One was a  
9 brief which is now moot with respect to --

10 THE COURT: What's really going on here? Is Slaby not  
11 available to you?

12 MR. CHEFFO: No, we believe Slaby is available, but  
13 here's the problem, your Honor, that we have, is that  
14 Dr. Furberg was never designated by these folks, never. He was  
15 a class plaintiff expert, okay, so he was never on the list.  
16 We never -- you know, talk about surprise -- we never would  
17 have even assumed to depose him in connection with any of these  
18 cases.

19 THE COURT: Excuse me. That's a little unfair, in  
20 that these cases always ran in tandem, right?

21 MR. CHEFFO: But if that's true, then that supports  
22 what we're saying, so just give me one second. I agree with  
23 you in that regard, but they came and they put him on their  
24 witness list, Furberg, the Kaiser people, on November 23 for  
25 the first time, okay? We then designated Dr. Rothschild, and

1 what you said all along is, the witnesses should -- if they had  
2 a chance to depose them and its in their report, I'm not going  
3 to play formalistic games, that everyone's known about them.  
4 And those are rules that I'm really not quibbling with at this  
5 point, and I think we understand that. And our point here  
6 is -- maybe your Honor didn't recognize this -- Dr. Rothschild's  
7 report was provided to the plaintiffs on March, 2009, okay? So  
8 they had it for a full year, and our only position is, if  
9 you're going to allow these people to add Furberg on November,  
10 2009, just by putting him on a witness list, then the person  
11 who is responding to him is Rothschild, and Rothschild --

12 THE COURT: But wasn't the theory that he was  
13 responding to Furberg? That was the theory.

14 MR. GREENE: This is exactly what it was, your Honor,  
15 and we gave up our right to depose him.

16 THE COURT: And I'm going to allow him to do whatever  
17 he wants with respect to responding to Furberg.

18 MR. CHEFFO: But he should not be limited to -- in  
19 other words, what I think your Honor, either intentionally or  
20 based on what was represented to you, has limited him to things  
21 that are -- there's things in his report that they were on  
22 notice of that he should be able to testify to.

23 THE COURT: I thought the deal was, he wasn't being  
24 deposed. The deal was, he was going to be -- that was the  
25 quid pro quo: You can add Furberg, and he can respond to



1 Furberg.

2 MR. CHEFFO: No, but they haven't even deposed Slaby.  
3 They haven't deposed any expert. So to basically say that all  
4 of a sudden --

5 MR. SOBOL: That's not true. We have.

6 MR. CHEFFO: Slaby?

7 MR. SOBOL: No, but we have deposed experts.

8 MR. CHEFFO: They deposed Dr. Keeley, okay, so this  
9 idea that --

10 THE COURT: I'll read it at this point, so --

11 MR. GREENE: Your Honor, may I just refresh your  
12 memory and Mr. Cheffo's because I'm not positive -- and forgive  
13 me, Mark -- I'm not sure if he was in the case at that point,  
14 but we identified and asked for permission to supplement  
15 Barkin's report and identified Dr. Furberg to address this very  
16 narrow issue of whether, based on the FDA '92-'93 statistical  
17 findings there, there was increased risk of depression. That  
18 was it. They came in with Rothschild. We didn't oppose it,  
19 and we gave up our right to depose Rothschild, and you allowed  
20 it. And Rothschild was allowed in just to respond to the  
21 narrow testimony, proposed testimony of Barkin and Furberg.  
22 He's gone way, way beyond that, and that was what the motion  
23 practice was on that issue.

24 THE COURT: But you have too restrictive a view of  
25 what that means. I mean, he can say that, you know, "In my

1 view, it doesn't have depressive side effects," and look at  
2 clinical studies to support that, I mean, if in fact he has  
3 them. So, I mean, I think they have taken too broad a view,  
4 which is simply by the fact Barkin says, "I incorporate my  
5 prior report or my prior opinion," you know, that somehow opens  
6 the floodgates. It doesn't. But you have too narrow or  
7 restrictive view about it. I mean, he can say, "And I've  
8 looked at the clinical studies, and it doesn't have depressive  
9 side effects, and in my clinical experience, I haven't seen  
10 that," right?

11 MR. GREENE: I think he can be limited to that issue,  
12 that issue of what Furberg and Barkin talk about, and that is  
13 whether Neurontin increases the depressive side effects.  
14 That's what they addressed, but not in her --

15 THE COURT: Let me put it this way: I am unlikely to  
16 reconsider my order, but I am not going to be as narrow as what  
17 you think it is. So it's, you know, "No, it doesn't increase  
18 depression. I have looked at the clinical studies," if so  
19 there be, "and I haven't seen any indications that there's  
20 depression coming out of gabapentin. And I've got a long  
21 clinical practice. I'm a doctor from McLean's," you know, the  
22 great, "and I haven't seen it." So I'm assuming that's what --

23 Now, what I'm not going to allow him to do is say it's  
24 an effective drug for bipolar because that's way beyond.  
25 You'll have to bring in Slaby for that if he's going to say it.

1 As I understand it, there is no evidence in Furberg or whatever  
2 that goes into general effectiveness for bipolar.

3 MR. GREENE: There isn't, there isn't. He was limited  
4 to that safety signal. That's all he addressed.

5 MR. CHEFFO: The only thing I would say, your Honor,  
6 is what you said, I think, what's good for the goose. I know  
7 your Honor uses "what's sauce for the goose." And I would just  
8 say, if you just take a look at the brief, the real issue here  
9 is what's in his report or not. So I think we're on the same  
10 page with respect to where you are, what he can testify, but I  
11 would just ask also that he not be limited. If it's in his  
12 report, it should be fair game. That's in the rules --

13 THE COURT: No. His report goes way, way beyond. And  
14 I didn't sit and micromanage, well, "yes" to Vieta and "no" to  
15 this. I'm not going to do that. I don't understand it well  
16 enough, and I didn't sit and read the very lengthy report that  
17 he wrote, so I didn't read the whole story. However, I have a  
18 general sense in my mind that Furberg and Barkin talked about  
19 the safety end, the depressive side effects or suicidal side  
20 effects. And they say, "Well, it's bad for that reason," and  
21 Rothschild can say, "That's crazy. I use it all the time. I  
22 don't see it, there are clinical trials that don't  
23 appropriately show it." I know Dr. Gibbons is going to talk  
24 about why gabapentin shows differently from some of the other  
25 antiepileptics. That's his big thing. And it will be for the

1 jury to swallow.

2 But let me just ask you all this: At some point I'm  
3 going to need to have time with you, right, on this directed  
4 verdicts issue?

5 MR. SOBOL: You had mentioned Friday afternoon, your  
6 Honor.

7 MR. CHEFFO: Friday for oral argument?

8 THE COURT: Yes, does that make some sense?

9 MR. CHEFFO: It makes perfect sense.

10 THE COURT: I'm starting to do the jury instructions,  
11 and one thing I'm struggling with a little bit, which you did  
12 not a bang-up job on, and unfortunately I think there aren't  
13 model ones, is on the California thing. I'm just having  
14 trouble with how I talk to a jury about it. Like, for example,  
15 there's case law that says there's strict liability. Well,  
16 that can't be true, I mean, for fraud? I mean, so at some  
17 point, maybe I'll just --

18 MR. CHEFFO: I was going to say, if you have questions  
19 on California law, Mr. Kennedy may be the one to --

20 THE COURT: Let me ask you point-blank: Are their  
21 model jury instructions for the unfair competition under  
22 California law?

23 MR. KENNEDY: There are not because it always gets  
24 tried to a judge.

25 THE COURT: I understand people think it's a good idea

1 to try and give it on an advisory basis. We're just sort of  
2 struggling as to how to talk about it to a jury.

3 MR. KENNEDY: I'm unaware of any advisory set of jury  
4 instructions on the issue.

5 THE COURT: So I'm struggling because case law says,  
6 well, in some contexts there's strict liability. Well, A,  
7 they're not going to understand what that means; and, B, it  
8 can't be true, at least for the fraudulent advertising piece of  
9 it. There has to be some scienter. So I'm just -- you put  
10 that in your jury instructions. And maybe like under the Mass.  
11 93A, sometimes there's strict liability when there's a  
12 violation of an Attorney General regulation, but it's not  
13 always the case for other kinds of causes of action. So I'm  
14 sort of -- maybe your brief goes into the case law a little bit  
15 better on that. I don't know.

16 MR. CHEFFO: You know, I think that it does not  
17 specifically, your Honor, because I think, as we understand the  
18 law, is that your Honor has to have the jury go first on the  
19 jury issues. So our Rule 50, just so your Honor is clear,  
20 doesn't even cover the UCL claims because that's something that  
21 we assumed you wanted to hear, you know, everything and you're  
22 sitting as the trier of fact, but we --

23 THE COURT: I thought you didn't. I thought everyone  
24 wanted me to do an advisory on it.

25 MR. CHEFFO: We do, your Honor, and I think that makes

1 sense. I'm only saying for the Rule 50, but if you're -- we  
2 submitted our proposed jury instructions. If you have  
3 questions, we can take another stab at it.

4 THE COURT: Another thing for you all, we were  
5 struggling with RICO conspiracy. I'm trying to articulate to  
6 myself what the difference is between the C and the D in this  
7 context, and it makes no sense. In other cases I've had  
8 criminally, it does make sense.

9 MR. SOBOL: I can address that, your Honor.

10 THE COURT: Yes.

11 MR. SOBOL: So now that the plaintiff has rested and  
12 our evidence is in, we don't intend to go to the jury on the  
13 conspiracy claim, just the substantive RICO count. But just  
14 this can be a placeholder in here, I did want to address some  
15 Gibbons chalks before he goes on the stand.

16 MR. GREENE: Can we just finish up with Rothschild?  
17 This is a binder of the exhibits in the report, and I  
18 highlighted the report in yellow and red.

19 THE COURT: About what?

20 MR. GREENE: Where he's going beyond his --

21 THE COURT: Gibbons?

22 MR. GREENE: No, Rothschild.

23 THE COURT: Rothschild. For Gibbons, I mean, we've  
24 known about Dr. Gibbons for a long time now. I know exactly  
25 what he's going to say. You all know exactly what he's going

1 to say. He's been consistent.

2 MR. SOBOL: He's different in this case, your Honor.

3 THE COURT: What else? Everybody's fine?

4 MR. CHEFFO: I'm done, your Honor.

5 THE COURT: Good. I'm going to go upstairs and have a  
6 cup of coffee. No?

7 MR. SOBOL: I'm sorry. I just have a couple of  
8 objections to the Gibbons slides that the defendants sent to us  
9 last night. I don't have an extra copy of them. If you have  
10 one that we can give to the Court or maybe --

11 THE COURT: Is it just demonstratives?

12 MR. HOOPER: Demonstratives.

13 MR. SOBOL: My only objections are twofold: Number  
14 one, if there's something brand-new, a new calculation that was  
15 not in his report, I'm objecting to it, or a brand-new chalk  
16 that has calculations that he did not depict in his report.

17 THE COURT: Well, there are calculations and there are  
18 calculations. So like I did with you, if there are some simple  
19 percentages, that's fine. If it's complex, we're going to cut  
20 it out.

21 MR. SOBOL: Exactly, which is why I limited my  
22 objections to --

23 THE COURT: Can you two talk?

24 MR. HOOPER: It would be nice. I never heard of this  
25 until two seconds ago.

1 THE COURT: I know, but, you know, he probably just  
2 saw the graph. Why don't you talk and see if they're  
3 brand-new. You know, there was an issue that -- I'm not  
4 faulting anyone -- which really turned out to be a new  
5 calculation that you ended up having to change because  
6 Ms. Armstrong caught something. So why don't you just talk and  
7 see if there's a serious problem. Have you talked about this?

8 MR. SOBOL: No. Again, I got the slides, and we've  
9 been busy, so --

10 THE COURT: Yes, of course you've all been busy.

11 MR. HOOPER: Last night.

12 THE COURT: And plus you theoretically have a life,  
13 so --

14 MR. CHEFFO: Theoretically.

15 MR. SOBOL: And you're looking at it.

16 (Laughter.)

17 THE COURT: You were all at that St. Patrick's Day  
18 parade yesterday in South Boston. You were the people standing  
19 there, right, in the pouring rain?

20 So, anyway, let's do this. You talk. As soon as this  
21 juror gets here -- there is flooding throughout --

22 THE CLERK: She's here.

23 THE COURT: She is here. All right, let's go.

24 MR. SOBOL: Are we going to finish Glanzman now?

25 THE COURT: No. Let's go right to Gibbons and do



1 Glanzman later. Is that a problem, so you can get him out of  
2 here?

3 MR. SOBOL: Well, we might need to chat about this  
4 right now then.

5 THE COURT: Yes, do you want to talk for two minutes?  
6 (Discussion off the record.)

7 MR. SOBOL: We have one dispute.

8 (Discussion at side bar off the record.)

9 THE CLERK: All rise for the jury.

10 (Jury enters the courtroom.)

11 THE COURT: Well, good morning. Once again, you've  
12 been delayed. I understand that one juror got caught in some  
13 of this horrific weather, and that's understandable.

14 The bigger problem is this: One of the jurors is  
15 sick, and we've been having a big debate about what to do about  
16 it, the options being sending you all home for the day,  
17 dismissing her. We decided against both of those, and what  
18 we're going to do here is, we're going to give her a transcript  
19 of what happened today so that she can get caught up. You've  
20 been in on this for a while. We hate to do that. She thinks  
21 she'll be better tomorrow. She says her whole family is down  
22 with something. So I think what we're going to do is go  
23 forward without her today. We will give her a transcript to  
24 read of whatever she missed.

25 The other issue is so that we can get -- we have only

1 a tiny amount left of Dr. Glanzman's deposition and the  
2 plaintiff will rest, but because we have the first of the  
3 defendants' witnesses here, we're just going to try and get him  
4 out of here, the weather is so horrible, get him home so that  
5 we can make sure we can finish him today. So we're going to  
6 start with him, but, remember, they haven't quite rested  
7 because what is it, ten or fifteen minutes left with  
8 Dr. Glanzman, something like that? And that will be part of  
9 it, and as well there are several documents they have  
10 introduced that you haven't seen yet but which they may save  
11 some oral argument on, but they'll offer those, and then I  
12 think we're going to get started on Dr. Gibbons.

13 MR. CHEFFO: Yes, your Honor.

14 THE COURT: And is he your only live witness today,  
15 and then you have otherwise depositions if we need time, is  
16 that it?

17 MR. CHEFFO: No, your Honor. We do have the  
18 depositions, but also Dr. Rothschild will be available.

19 THE COURT: Oh, he's coming in today?

20 MR. CHEFFO: Yes, your Honor.

21 THE COURT: Oh, all right, well, fine. So he's local.  
22 He's one of the local doctors, so we're not depending on  
23 travel.

24 Okay, go ahead.

25 MR. HOOPER: Your Honor, we call Dr. Robert Gibbons.

1 ROBERT GIBBONS

2 having been first duly sworn, was examined and testified as  
3 follows:

4 THE CLERK: Would you please state your name and spell  
5 it for the record.

6 THE WITNESS: Robert Gibbons, G-i-b-b-o-n-s.

7 THE COURT: I'm sure you're not catching. Can you  
8 hear? Pull it in. You can move it, as you may remember. All  
9 right, so let's try it again.

10 THE WITNESS: Robert Gibbons, G-i-b-b-o-n-s.

11 THE COURT: Perfect.

12 DIRECT EXAMINATION BY MR. HOOPER:

13 Q. All right, Dr. Gibbons, what is your profession?

14 A. I'm a professor of statistics.

15 Q. Before we get into your background, would you please  
16 describe your assignment in this case, what you're here to talk  
17 about.

18 A. I was asked to review the expert reports of Dr. Perry,  
19 Dr. Jewell, and Dr. McCrory, and comment on the analyses that  
20 were performed therein and verify those computations.

21 Q. Where are you employed?

22 A. University of Illinois at Chicago.

23 Q. In what capacity?

24 A. I'm the Director for the Center For Health Statistics.

25 I'm a professor of biostatistics, statistics, math, computer

1 science, and psychiatry, kind of an odd mix.

2 Q. And in what fields of science did you earn your doctoral  
3 degree?

4 A. In statistics and in psychometric theory from the  
5 University of Chicago.

6 Q. Have you published peer-reviewed scientific papers in your  
7 field?

8 A. Yes, I have.

9 Q. Have you published books as well?

10 A. Yes, I have.

11 Q. How many peer-reviewed publications do you have in your  
12 field?

13 A. Over 200 peer-reviewed publications and five books.

14 Q. And you published a book on something called longitudinal  
15 data analysis?

16 A. Yes, I have.

17 Q. Does longitudinal data analysis have something to do with  
18 analyzing data from clinical trials?

19 A. Yes, it does.

20 Q. And is your book used in academic institutions to teach  
21 data analysis?

22 A. Yes. It's quite widely used. I believe there are fifteen  
23 universities currently that are using the book for routine  
24 classes in analysis of longitudinal and clustered data.

25 Q. Has your work through the years involved analysis of data

1 from studies of pharmaceutical products, medications?

2 A. Yes, it has.

3 Q. Dr. Gibbons, has your work in biostatistics resulted in  
4 recognition or awards from Harvard University, the American  
5 Statistical Association, agencies of the federal government  
6 like the National Institute of Mental Health and the Veterans'  
7 Administration?

8 A. Yes. They've all been very kind to me.

9 Q. And are you a member of the Institutes of Medicine of the  
10 National Academy of Sciences?

11 A. Yes, I am.

12 Q. Can anyone join the NAS? Is it something where you fill  
13 out the application, send in your dues, and get the membership  
14 card back?

15 A. No. It's a select group that has to be nominated by two  
16 members of the National Academy of Sciences based on the  
17 lifetime achievement in a particular area. Worldwide, I  
18 believe there are approximately a thousand members. There are  
19 a handful of statisticians that are members of the National  
20 Academy of Sciences. I'm one of them.

21 Q. Have you been asked to serve on advisory boards to the  
22 Food and Drug Administration?

23 A. Yes. I was a member of the Scientific Advisory Board that  
24 opined on the question of antidepressants, suicide in children.  
25 I've also recently been appointed and elected to the Safety

1 Science Board of the new Sentinel Network that oversees FDA's  
2 new approach to drug safety in the United States.

3 Q. Before we get into the details, could you please give the  
4 jury a brief summary of your major opinions in this case.

5 MR. SOBOL: Objection.

6 THE COURT: Overruled.

7 A. My opinions are, number one, that the data clearly support  
8 the effectiveness of Neurontin in neuropathic pain. The data  
9 do not support Dr. Perry's conclusion that Neurontin is  
10 ineffective. Second, based on my reanalysis of the data, the  
11 data do not support Dr. Jewell's unblinding hypothesis. And,  
12 finally, the data do not support Dr. McCrory's conclusion that  
13 Neurontin is ineffective for migraine headache pain.

14 Q. Before we get into the specific opinions, let's spend just  
15 a few minutes on some basic terms and concepts.

16 MR. HOOPER: Bring up Slide 6, please.

17 Q. When you say you analyze clinical data, Dr. Gibbons. What  
18 does that mean? What is clinical data?

19 THE COURT: What's up on the screen?

20 MR. HOOPER: I think he can explain. It will help  
21 answer the question.

22 MR. SOBOL: Objection.

23 THE COURT: Sustained.

24 MR. SOBOL: Can we take it off, please?

25 THE COURT: Yes.

1 Q. What is clinical data, Dr. Gibbons?

2 A. Clinical data in this case are unique, in that these are  
3 patient-reported outcomes. This is a patient's self-report of  
4 their physical well-being in terms of the amount of pain that  
5 they're experiencing. This is quite different than looking at  
6 cholesterol levels or blood pressure or heart rate measurements  
7 that are less of a subjective measurement and are not reported  
8 directly by the patient.

9 Q. Now, what is a PGIC scale?

10 A. PGIC is what we call a Likert type scale. It's a series  
11 of ordered categories, each one having a description in which a  
12 patient rates themselves in terms of, in this case, the change  
13 in their global impression of their pain.

14 Q. Are the scores that patients record on the scale, is that  
15 one form of clinical data?

16 A. Yes, it is.

17 Q. And are there other similar scales that record information  
18 passed from patient to doctor in the clinical trials about  
19 pain?

20 A. Yes. This is very characteristic of pain studies to rate  
21 pain on a kind of rating scale with categories that are ordered  
22 and are labeled to describe, for example, my pain is much  
23 worse, or my pain is much improved, or there is no change in my  
24 pain.

25 Q. Doctor, what does longitudinal analysis of clinical data

1 mean?

2 A. Longitudinal data are data that consist of repeated  
3 observations of the same people over time. The most important  
4 feature of longitudinal data and why they're collected  
5 routinely in randomized clinical trials is that not everybody  
6 makes it to the end of the study, and so we're confronted as  
7 statisticians and clinical researchers with the question of,  
8 what do we do with the patients that drop out from those  
9 studies? The longitudinal data allow us to obtain all  
10 available information from those subjects so that they can in  
11 fact be included in the analysis and not excluded. This helps  
12 to insure that the effects of randomization in these trials is  
13 maintained.

14 THE COURT: So longitudinal data is just from the  
15 dropouts or from everybody?

16 THE WITNESS: It's from everybody.

17 Q. And is this the type of analysis, longitudinal data  
18 analysis, that your book is about?

19 A. Yes, it is.

20 MR. HOOPER: Slide 9, please.

21 THE COURT: So do you follow people after they've  
22 dropped out or just while they were in it?

23 THE WITNESS: You follow people while they're in the  
24 study. So if a patient, say, in an eight-week study had data  
25 through week seven, we're able to use the data through week



1 seven, even though they dropped out at the end of the study, to  
2 make use of the available data from those subjects to tell us  
3 something about --

4 THE COURT: While they're still in the study?

5 THE WITNESS: While they're still in the study.

6 Q. Doctor, can you explain for the jury the difference  
7 between clinical data in its original state, the score sheets  
8 from doctors and patients, and the data information that comes  
9 in things like study reports and publications.

10 A. The original clinical data maintain the responses for  
11 every individual that was randomized in the study and maintain  
12 the individual measurements at each measurement occasion. It  
13 is the complete record of the experience of the patient in the  
14 clinical trial.

15 The study reports present summary statistics, averages,  
16 proportions, standard deviations, that describe the experiences  
17 of the group of subjects to which received a similar treatment;  
18 for example, Neurontin or placebo.

19 And then, finally, the publications are the reports of  
20 those summary statistics and analyses that made it into the  
21 scientific literature.

22 So you can see that there are three levels of  
23 organization, three levels of data. Things like meta-analysis  
24 are typically applied at the highest level in terms of the  
25 publications, sometimes also based on study reports. The

1 original data can be used for reanalysis.

2 Q. In certain of your analyses in this case, were you able to  
3 use original clinical data?

4 A. Yes. I believe it's very important to go back to the  
5 original clinical data. It allows us to understand which  
6 patients made it to the end of the study, which patients did  
7 not, how those patients were treated, and allows for a much  
8 richer way of synthesizing the information from study to study.  
9 So I was able to go back to the original data and perform these  
10 analyses. I believe I'm the only one who's actually looked at  
11 the original data.

12 MR. HOOPER: Slide 10, please.

13 Q. Dr. Gibbons, what is a parallel group study design?

14 A. Parallel group study is a study in which patients are  
15 randomized to either a treated, an active treatment like  
16 Neurontin, or a control condition, or another treatment like  
17 placebo or a different kind of, say, antiepileptic drug. The  
18 distinguishing feature is that these are independent groups of  
19 people who are randomized either to Treatment A or Treatment B,  
20 Treatment A or a placebo-controlled group.

21 Q. Is this a common design for clinical trial?

22 A. This is the most common clinical trial design.

23 THE COURT: Are you describing what we've been calling  
24 the double-blinded random controlled trial?

25 THE WITNESS: You can have a double-blinded control

1 that involves a crossover as well, so it could be the same  
2 people being treated in both cases. They still are double-  
3 blind. So the distinguishing feature here is that following  
4 randomization, a patient is assigned to one treatment and only  
5 one treatment. So the comparison between people who receive  
6 Treatment A versus Treatment B is a comparison between  
7 different people. Each person only receives one treatment.  
8 That's the distinguishing feature of a parallel group design,  
9 so that we have independent subjects.

10 Q. Doctor, let me clarify on Judge Saris' point. A DBRCT, a  
11 randomized double-blind placebo-controlled trial, can be either  
12 a parallel design or a crossover design, correct?

13 A. That is correct.

14 Q. And are parallel group studies, the design shown on the  
15 board now, are those typically used to prove efficacy for  
16 purposes of FDA approval?

17 A. Yes.

18 MR. HOOPER: Slide 11, please.

19 Q. Now, if you would, please, explain what is a crossover  
20 design?

21 A. So a crossover design is an experimental design in which  
22 the same patients receive both treatments, typically in a  
23 counterbalanced order. So in one group they will receive  
24 Treatment A first, and then after that there may be a washout  
25 period, and then they'll receive Treatment B. And in the other

1 half of the study, half of the subjects who are randomized to  
2 Patient Group B, they receive Treatment B first, and then they  
3 receive Treatment A following that. So it's the same patients  
4 who are repeatedly measured under both Treatment A and  
5 Treatment B.

6 Q. Are there any advantages or disadvantages to using a  
7 crossover design?

8 A. Conceptually the advantage of a crossover design is that  
9 we're controlling for other kinds of exogenous factors like age  
10 and sex and race and other factors that might add variability  
11 to the between-group comparison in a parallel group design.

12 The disadvantage of a crossover design is that there are  
13 carryover effects. If a patient gets better on Treatment A,  
14 they're not going to get that much better on Treatment B  
15 because they're already better on Treatment A. And that's one  
16 of the limiting features of crossover designs and why they're  
17 typically not used, for example, to seek FDA approval.

18 Q. Did you, like Dr. Perry, analyze combined data from  
19 several studies in this case?

20 A. Yes, I did.

21 Q. Is there an advantage to combining study results as  
22 opposed to looking at them one by one by one?

23 A. One of the distinguishing features about scientific  
24 investigations is to determine whether or not the results are  
25 reproducible across studies. So an important approach to

1 understanding a particular result is to see whether or not it  
2 stands up, whether or not it's reproducible from study to study  
3 to study.

4 Q. The jury has heard a term called "meta-analysis" at a  
5 certain point. Is there a difference between the combined  
6 analyses that you and Dr. Perry did and meta-analysis?

7 A. So the distinguishing feature, and this is a little  
8 tricky, is that in meta-analysis we're combining summary  
9 statistics from studies, typically based on published studies  
10 or study reports. And so we're combining things like effect  
11 sizes, which are differences between averages relative to their  
12 variability, or differences in proportions. A combined  
13 analysis then goes back and is not meta-analysis but goes back  
14 to the original data and treats all of the different studies as  
15 if they were one large multicenter, multi-study clinical trial,  
16 preserving the individual measurements at every single point in  
17 time for each individual. It's an advance beyond the kinds of  
18 meta-analyses that are used when only the published reports are  
19 available.

20 MR. HOOPER: Slide 12, please.

21 Q. Let's get into your analysis of the data from pain  
22 studies. Can you briefly explain what patient outcomes you  
23 chose to measure to assess whether Neurontin was effective or  
24 not.

25 A. I looked at three primary patient outcomes. One was the

1 likelihood of reporting moderate or greater improvement in  
2 pain, a very clinically interpretable kind of outcome. I  
3 looked at changes in patient-reported pain scores, the raw pain  
4 scores from the original reports. And then I also looked at  
5 the more clinically interpretable 50 percent or more reduction  
6 in patient-reported pain. And then, finally, in putting these  
7 together with adverse events, I looked at the benefit-to-risk  
8 ratios for various treatment options across these studies.

9 Q. Is there any significant difference between the outcomes  
10 you chose to look at and those that Dr. Perry chose to look at?

11 A. No. These are the same outcomes.

12 MR. HOOPER: Slide 13, please.

13 Q. For the first outcome, moderate or greater improvement in  
14 pain, is that an outcome measured on the PGIC scale that you  
15 told us about earlier?

16 A. Yes, it is.

17 Q. And which studies did you combine to evaluate moderate or  
18 greater improvement in pain?

19 A. I combined the seven studies that were also combined by  
20 Dr. Perry: the Backonja study, the Parsons study, the Reckless  
21 study, the Rowbotham study, the Rice study, the Gordh study,  
22 and the Serpell study.

23 Q. The Gordh study is also referred to as the POPP, P-O-P-P,  
24 study?

25 A. That's correct.

1 Q. And all of those seven had the PGIC scale data that you  
2 needed to look at this particular outcome?

3 A. That's correct.

4 Q. The jury has heard a lot about a Gorson study. Did the  
5 Gorson study have PGIC data that you could use?

6 A. No. That study did not collect the PGIC, so it couldn't  
7 be combined with these others.

8 Q. Let's turn to the results that had the PGIC data.

9 MR. HOOPER: Slide 14, please.

10 Q. Dr. Gibbons, would you please explain what's shown on this  
11 chart step by step beginning with the vertical line on the left  
12 that's labeled "Odds Ratio." What's an odds ratio?

13 A. An odds ratio is the relative likelihood or probability of  
14 obtaining a patient-reported outcome of moderate or greater  
15 improvement in gabapentin-treated patients relative to  
16 placebo-treated patients. So, for example, for the Backonja  
17 study, we see that the odds ratio is 3, which indicates that a  
18 patient is three times more likely or probable to report a  
19 clinical improvement of moderate or greater relative to  
20 placebo.

21 Q. And, Doctor, there are gray lines that sort of run  
22 vertically through the center of each bar. What do those  
23 represent?

24 A. The gray lines represent the confidence intervals that  
25 surround our estimate of the odds ratio. If that confidence

1 interval is larger than the value 1, it means that it's  
2 statistically significant. What that means is that the  
3 probability that that could have occurred by chance is less  
4 than 5 percent.

5 So what we see in looking at all of these blue -- and if I  
6 get the colors wrong, I'm very color-blind, so I apologize in  
7 advance -- if you look at these blue bars, you see that  
8 numerically in every single case, for each study individually,  
9 the odds ratio is greater than 1. An odds ratio of 1 means  
10 that there's an equal chance of reporting this positive outcome  
11 for people treated with placebo and people treated with active  
12 drug.

13 Of the seven studies, all of them have odds ratios greater  
14 than 1, indicating greater efficacy of gabapentin relative to  
15 placebo. This is a very consistent finding. Six of the seven  
16 individual studies actually report statistically significant  
17 odds ratios; that is, odds ratios that have less than a  
18 5 percent chance of actually being a value of 1, indicating  
19 equal likelihood of benefit from placebo and from active  
20 treatment.

21 Q. What's your conclusion based on these data from these  
22 seven studies?

23 A. The overall conclusion is listed on the right in terms of  
24 the overall odds ratio pooled across these seven studies, which  
25 indicates that there is a two-and-a-half times greater chance



1 of obtaining moderate or greater improvement on gabapentin  
2 relative to placebo. The confidence interval is quite a bit  
3 larger than the value of 1, indicating that this is a  
4 statistically significant difference, and the likelihood of it  
5 occurring by chance alone is very, very remote. The only thing  
6 I can't tell you is what color that overall bar is.

7 Q. I represent to you it's orange.

8 A. Thank you.

9 MR. HOOPER: Slide 15.

10 Q. Doctor, the jury has seen some data presented by  
11 Dr. McCrory in the form of what's called a "forest plot." Is  
12 this a forest plot?

13 A. Yes, it is. It presents the same information that was  
14 presented on the previous slide, again showing that there is  
15 numerical superiority for every one of the individual studies  
16 in favor of greater benefit of gabapentin relative to placebo.  
17 Six out of seven of the studies show statistically significant  
18 benefit relative to placebo, a very consistent result across  
19 the studies. And the overall analysis shows consistent benefit  
20 for gabapentin relative to placebo, and a confidence interval  
21 which is nowhere near the value 1, indicating that this result  
22 is statistically significant.

23 MR. HOOPER: Slide 16, please.

24 Q. Let's turn now to the next outcome you evaluated, a  
25 benefit-to-risk ratio. Can you explain to the jury what you

1 mean by the term "benefit-to-risk ratio."

2 A. When deciding whether or not a patient should take a  
3 medication, it's very important to weigh the benefits of that  
4 medication relative to the risks of that medication.

5 Physicians do this, economists do this, and statisticians do  
6 this. So we want to make sure that the benefit of taking a  
7 drug far outweighs the negative consequences of taking that  
8 drug.

9 MR. HOOPER: Slide 17, please.

10 Q. Doctor, I see that this says "Benefit-to-risk ratio using  
11 Dr. Perry's calculations." What does that mean?

12 A. So we're looking at benefit in terms of the percentage of  
13 patients that will report moderate to greater improvement in  
14 the PGIC in terms of the reduction of their pain based on  
15 Dr. Perry's computations. In reviewing Dr. Perry's report, I  
16 realized that there were approximately 170 patients who didn't  
17 even have PGIC measurements, but Dr. Perry attributed to those  
18 patients an outcome that indicated that they had not received  
19 moderate to greater benefit, an attribute that couldn't  
20 possibly be supported by the data because they didn't have the  
21 data. So based on his computations, we see that there is a  
22 difference of approximately 38 percent of the patients reported  
23 moderate or greater improvement on gabapentin relative to only  
24 20 percent on placebo.

25 By contrast, to the right we see the risk portion of the

1 equation. These are the percent of patients who withdrew from  
2 the study due to adverse events. It's important that we  
3 consider serious adverse events in which the patient decided  
4 that whatever benefit they were getting from treatment just  
5 wasn't worth it. They just were not going to continue the  
6 study because the adverse effects associated with treatment or  
7 placebo control outweighed the benefits that they were getting.

8 In this case, we see that 11 percent of the patients on  
9 gabapentin withdrew from the study, whereas 8.1 percent of the  
10 patients on placebo withdrew from the study for adverse events.  
11 This difference is 2.9 percent, which is very small relative to  
12 the increased benefit. If you just simply take a ratio of  
13 those differences, you see that the benefit-to-risk ratio is  
14 approximately six to one.

15 MR. HOOPER: Slide 18, please.

16 Q. Now, this slide looks similar but says "Benefit-to-risk  
17 ratio using Dr. Gibbons' calculations." Could you explain what  
18 the difference is between this and the previous slide.

19 A. So in this slide I remove the data from those 170 subjects  
20 who didn't have the PGIC. I didn't attribute any outcome to  
21 them, either positive or negative. And as you can see, there's  
22 small changes, but now the benefit-to-risk ratio is  
23 approximately seven to one. There's a larger difference,  
24 almost a doubling of the benefit for a very small increase in  
25 the risk, only 2.9 percent increased risk to almost 20 percent

1 increased benefit.

2 MR. HOOPER: Slide 19.

3 Q. And now side by side, is there any significant difference  
4 between your figures and Dr. Perry's as to benefit-to-risk  
5 ratio?

6 A. We would both come to the same or both should come to the  
7 same conclusions regarding the fact that the benefit greatly  
8 outweighs the risk, either based on his computations or my  
9 reanalysis of his data.

10 MR. HOOPER: 20, please.

11 Q. Let's now look at the third type of pain outcome you  
12 evaluated, changes in patient-reported pain scores.

13 MR. HOOPER: Let's go to Slide 21, please.

14 Q. Can you explain the studies that went into this analysis  
15 and why.

16 A. So at this point I felt that it was important to go back  
17 to the original data and reanalyze the data. This is in sharp  
18 contrast to everything that you've heard so far that are  
19 essentially meta-analyses of the published or unpublished  
20 reports that are taking summary statistics and combining them.  
21 Here I actually went back to the patient-level data: What  
22 happened to Patient 129 on week three of the study in terms of  
23 the raw pain score that was reported? And what we can see here  
24 is that there were six of the studies that were parallel group  
25 studies, all in which they were treated for some form of pain,

1 so a common indication, and all of which were comparisons of  
2 gabapentin relative to placebo, so a very homogeneous group of  
3 studies for similar indications with a common treatment. And  
4 overall in the intent-to-treat population, which I'll explain  
5 in a moment, there were 1,739 patients with a total of 14,114  
6 individual measurements. This is a huge database. This is a  
7 tremendous amount of information by which to judge the  
8 effectiveness of gabapentin relative to placebo.

9 The intent-to-treat population means that anybody who was  
10 randomized to placebo or active treatment was included in this  
11 analysis, a longitudinal analysis that included every single  
12 observation available from every single one of those patients.  
13 So the intent-to-treat population, the population that's  
14 favored by the U.S. FDA, is the most comprehensive population  
15 to analyze. It doesn't just involve people who the doctors  
16 felt had received enough treatment to show a benefit or  
17 patients who had made it to the end of the study. Anybody who  
18 was randomized is included in this analysis, and why that's  
19 important is, it minimizes bias.

20 Q. Doctor, just briefly before I move on, I want to make sure  
21 the jury understands why Gorson and Gordh, or the POPP study,  
22 are not in this analysis. Can you explain why?

23 A. Those studies were crossover studies, so they were not  
24 parallel group studies that would allow us to combine the  
25 information, to go back and do this reanalysis of similar

1 design studies.

2 MR. HOOPER: Slide 22, please.

3 Q. Doctor, does this chart show changes over time in average  
4 pain scores in the intention-to-treat or ITT group of patients  
5 that you just told us about?

6 A. Yes, it does.

7 Q. Can you explain for the jury what this chart shows about  
8 those pain score changes.

9 A. So the squares are the observed means, the average pain  
10 score at each point in time for all patients that were  
11 available at that particular point in time; and the curve that  
12 passes through the squares is the estimated curve from the  
13 statistical model, and as you can see, it fits the observed  
14 data quite well. And the curve at the top is for the placebo  
15 patients, showing that they start out at around 6.5 and end up  
16 at around 5.5 in terms of their average pain score. And the  
17 curve at the bottom is for the gabapentin-treated subjects,  
18 again starting out similarly at baseline at around 6.5 and  
19 coming down to a score slightly under 4.5.

20 The probability associated with the question or hypothesis  
21 that those two lines are the same, that there is no difference  
22 between gabapentin and placebo, has an associated probability  
23 of 1 in 100,000, indicating that it is extremely unlikely,  
24 given the 1,700 subjects that went into constructing those  
25 curves, that those two curves are the same. In terms of the

1 magnitude of the effect, the rate at which pain goes away in  
2 gabapentin-treated subjects is 61 percent faster than it is for  
3 placebo.

4 Q. Are you aware that Dr. Perry said in his report, and I'll  
5 tell you here, that the changes in pain scores were  
6 statistically significant but clinically meaningless? Are you  
7 aware that's his opinion?

8 A. Yes, I am.

9 Q. What is your response to that?

10 MR. SOBOL: Objection.

11 THE COURT: Overruled.

12 A. I don't understand why he would attribute that. We've  
13 looked at and he's looked at three different kinds of end  
14 points: a 50 percent reduction in pain, achieving a moderate  
15 to greater reduction in pain, and the absolute change scores.  
16 All of them show statistically significant differences. All of  
17 them are clinically interpretable. These are large differences.  
18 These are as large as I have seen for most drugs that are  
19 treating pain or other kinds of subjective disorders. If I  
20 were suffering from pain, I would be happy to take this drug.

21 With respect to the average difference at the end of the  
22 study, Dr. Perry was concerned that the difference was anywhere  
23 from, I think he quoted .78 to maybe 1.2, and that this is an  
24 11-point scale. And so a 1-point change on average in an  
25 11-point scale is not very meaningful.

1           There are two problems with that. The first is that --

2           MR. SOBOL: Objection.

3           THE COURT: Yes, this is a narrative. I think you  
4           need to ask another question.

5           Q. What is the problem with Dr. Perry's conclusion that a  
6           1- or 2-point difference on an 11-point scale is not clinically  
7           meaningful?

8           A. The patients are using a much smaller amount of the scale.  
9           The average deviation from the mean is about 2, so the patients  
10          are generally using about 4 to 5 points on this scale, not all  
11          11 points of the scale. So a 1-point change on average in a  
12          4-point scale or a 5-point scale is actually a very large  
13          effect.

14          MR. HOOPER: Slide 23, please.

15          Q. Let's turn to your fourth pain outcome, a 50 percent or  
16          more reduction in pain, Slide 24. Doctor, can you please  
17          explain what this chart shows starting with the left axis  
18          labeled 0 to 40 percent.

19          A. So based on the reanalysis of all of the available data,  
20          the individual data points, I can estimate at week nine the  
21          percentage of patients that would achieve a 50 percent or  
22          greater reduction in pain, whether they actually made it to  
23          week nine or didn't make it to week nine; and we see that based  
24          on the intention-to-treat population, everyone who was  
25          randomized, we have a difference of 33.4 percent achieving that



1 on Neurontin versus 18.7 percent on placebo.

2 On the right we --

3 THE COURT: This includes people who stayed in the  
4 study, or you're predicting people who dropped out?

5 THE WITNESS: It includes people who were actually  
6 available at week nine, and it also includes the effects of  
7 those patients who might have dropped out at week seven.

8 THE COURT: How do you know then?

9 THE WITNESS: We have an estimate of their rate of  
10 change, of the curve for each individual subject, and we can  
11 project that to week nine for those subjects that did drop out.  
12 Most of the subjects had a week nine score, so that score is  
13 used to compute these percentages.

14 MR. HOOPER: Can we go back to the previous slide so  
15 we can clarify that point.

16 Q. Doctor, on the slide we were looking at earlier, there are  
17 squares for "observed" and a curve for "estimated." Did you  
18 take both into account?

19 A. Yes, I did.

20 Q. And observed are actual measurements?

21 A. The observed are actual measurements.

22 MR. HOOPER: Now if we could go forward to Slide --

23 THE COURT: Could you go backwards just one second.

24 MR. HOOPER: Sure.

25 THE COURT: Just the difference between the -- so the

1 ones you actually observed, the line that says "estimated" are  
2 the ones where you projected the people who dropped out?

3 THE WITNESS: The "estimated" is the estimated curve  
4 for the average of all gabapentin-treated subjects on the  
5 bottom and all placebo-treated subjects at the top. The  
6 statistical model also provides an estimated trend line for  
7 each individual subject.

8 THE COURT: I was probably unclear. So does the  
9 "estimated" include the people whom you didn't actually observe  
10 but you're projecting?

11 THE WITNESS: That's correct.

12 THE COURT: Okay. But the "observed" are people you  
13 actually had?

14 THE WITNESS: Exactly.

15 THE COURT: That's the better number?

16 THE WITNESS: Well, the "observed" is an important  
17 number. The "estimated" is an important number also, and let  
18 me explain. The "observed" is everybody who was available at  
19 the end of treatment. Now, some of these patients may have  
20 dropped out of treatment because they were doing so well, they  
21 really didn't want to continue the study; and other patients  
22 may have dropped out of the study because they really weren't  
23 getting any benefit of the drug or placebo, and they decided  
24 that they didn't want to stay in the study. So the most  
25 complete analysis uses all the available data from every

1 subject so it's not biased by only looking at those people who  
2 made it to the end.

3 THE COURT: I just want to understand the term.

4 I'm asking all the questions. Where are you? You're  
5 asleep this morning. I'll have to pump you with caffeine  
6 because, you know, this is a chance to ask the folks questions.

7 All right, go ahead.

8 MR. HOOPER: Let's go to Slide 25, please.

9 MR. SOBOL: We've got a question, your Honor.

10 THE COURT: All right.

11 A JUROR: Going back to the curve where you took the  
12 data and you curved it with that curve, that's the estimate?

13 THE WITNESS: That's correct.

14 A JUROR: Now, those two curves, they look fairly  
15 similar except there's a translation from one to the other.

16 THE WITNESS: That's correct. The form of the curves  
17 is very similar. The model that's used to fit those curves is  
18 the same in both groups, and in fact the data are well fitted  
19 by those curves; but the slope, the rate of change is very  
20 different. The rate of change in the curve below is 61 percent  
21 faster than the rate of change in the curve above.

22 A JUROR: That's tough to pick out when you're looking  
23 at the rate of change in the curve, 61 percent, whatever that  
24 is, okay. But, again, maybe I'm misinterpreting it, but the  
25 top one was the placebo effect?

1 THE WITNESS: The top one are those patients who were  
2 in placebo. So we see that --

3 A JUROR: They seem to be over 40, so higher pain  
4 scores along the way.

5 THE WITNESS: That's a very good observation. Even  
6 early on in the treatment, after one week, the patients on  
7 active treatment are doing better, clearly numerically, than  
8 the patients who were randomized to placebo. There's remarkably  
9 good separation between these curves, even early on in  
10 treatment, and, more importantly, that separation is maintained  
11 at the end of treatment.

12 MR. HOOPER: Slide 25, please.

13 Q. Returning to the 50 percent or more reduction in pain,  
14 Doctor, could you explain the results and whether it was  
15 statistically significant.

16 A. So if we compare these percentages of 33.4 percent in the  
17 intent-to-treat population who got gabapentin versus  
18 18.7 percent in placebo, the probability that those two  
19 percentages by chance alone could have been the same in a  
20 sample of this size is 1 in 10,000. So what this indicates is  
21 that that difference is statistically significant, way, way  
22 beyond what we would expect by chance alone.

23 Q. Doctor, to sum up to this point, did you test the data for  
24 the same pain outcomes that Dr. Perry chose to evaluate?

25 A. Yes, I did.

1 Q. Were the results similar for all four?

2 A. Yes, they were.

3 Q. Did the results favor Neurontin in all four?

4 A. In all cases, yes.

5 Q. Let's turn to your second major opinion dealing with  
6 Dr. Jewell's theory about unblinding in the Backonja study in  
7 diabetic neuropathy. First, just to reacquaint us with it, can  
8 you remind us, the jury, and Judge Saris of Dr. Jewell's  
9 unblinding theory as you understand it.

10 A. Yes. The idea of unblinding is not a theory attributed to  
11 Dr. Jewell. It's a common question related to subjective  
12 measurements. The concern is that active medications will  
13 produce side effects. Placebo, also patients report side  
14 effects. Once you obtain, once you experience the side  
15 effect -- let's say, for example, that side effect is a  
16 headache -- all of a sudden you say to yourself, "Ah, I got a  
17 headache. I must be on the active treatment. I'm lucky, I've  
18 got the treatment, so I think I'm going to get better because I  
19 know that I'm getting the real treatment, and I'm not going to  
20 embarrass myself by having my pain go away simply being on  
21 placebo."

22 MR. HOOPER: Slide 27.

23 Q. Turning briefly to the Backonja article that appeared in  
24 the Journal of the American Medical Association, was unblinding  
25 brought up in the article itself?

1 A. Yes. The authors of this study discussed unblinding as a  
2 possible explanation for the difference between placebo and  
3 active treatment, and they did a series of analyses to insulate  
4 themselves from the bias that could be produced by unblinding  
5 by eliminating patients who had experienced a particular side  
6 effect.

7 MR. HOOPER: And then if we could go to Slide 28 and  
8 go up to 29, if you will.

9 Q. When you analyzed the unblinding hypothesis for the  
10 Backonja study, did you analyze it the same way Dr. Backonja  
11 did in the JAMA article?

12 A. No, I didn't. What Dr. Backonja did was to look at each  
13 class of side effects. There really were three classes of side  
14 effects: There was sleepy, dizzy, and twitchy. That's how I  
15 remember them. Sleepiness --

16 THE COURT: It sounds like the dwarfs.

17 THE WITNESS: Exactly. That's my only way of  
18 remembering all of them.

19 And what Dr. Backonja did, and Dr. Jewell objected to  
20 it, and I think a reasonable objection, was that he looked at  
21 each class of side effects separately. So he took all the  
22 sleepy people, and he took them out and reanalyzed the data and  
23 still found that the drug was efficacious. Then he took out  
24 the dizzy people and reanalyzed the data, but he put the sleepy  
25 people back in. And then he took out -- well, I think he only

1 looked at dizzy and sleepy.

2 In my analysis, I included all three classes of side  
3 effects simultaneously in the analysis, but I preserved their  
4 individuality and actually estimated the relationship between  
5 the emergence of those side effects and pain scores. I did  
6 that simultaneously for all of them, and then I also did that  
7 pooling all of them together into one big side effect pot like  
8 Dr. Jewell did.

9 Q. What did you find when you adjusted for the emergence of  
10 all CNS side effects?

11 A. The first thing that I found was, there was no overall  
12 relationship with CNS side effects and the pain scores. That  
13 is, the averages didn't change before and after the emergence  
14 of those side effects, so it couldn't possibly be unblinding.

15 I also then looked at the individual side effect classes  
16 simultaneously in the statistical model and found that for  
17 sleepy and dizzy, there were no association with the changes in  
18 pain score, but for twitchy, there was actually a positive  
19 association. Those patients who reported nervousness actually  
20 had worsening of their pain, not lessening of their pain, in  
21 the data. So --

22 Q. Is that consistent or inconsistent with an unblinding?

23 A. It's the absolute opposite of unblinding. Unblinding  
24 would have had a relationship where once the side effect  
25 occurred, the pain scores would go down. There would be less

1 pain because the patient would now know that they're on active  
2 treatment.

3 Q. In unblinding, is the improvement in pain supposed to come  
4 after you develop the side effect?

5 A. That's how we test for unblinding.

6 Q. Did you consider whether there was pain improvement in  
7 these patients before the side effect occurred?

8 A. Yes, I did. And in fact the improvement in pain in those  
9 patients who experience the side effect occurred before they  
10 ever experienced the side effect.

11 A good analogy is chemotherapy and losing your hair. If  
12 you're on high-dose chemotherapy and you don't lose your hair,  
13 you know that they haven't given you enough chemotherapy.  
14 Losing your hair is a marker of the biological activity of the  
15 drug, and in this case, having essential nervous system side  
16 effect is a marker of the biological activity. The patients  
17 who experienced the CNS side effects in fact were those  
18 patients who received the greatest benefit of treatment, the  
19 greatest pain reduction, prior to the emergence of the side  
20 effect, not after the side effect emerged.

21 MR. HOOPER: 33.

22 Q. Let's turn now, Dr. Gibbons, to your third major opinion  
23 on Dr. McCrory's conclusions about migraine headache.

24 MR. HOOPER: 34, please.

25 Q. Do you recognize this as the results from Dr. McCrory's



1 report where he combined the results of three headache studies?

2 A. Yes, I do.

3 Q. What's your interpretation of his results as shown in the  
4 forest plot here?

5 A. The interpretation is that there is a trend towards  
6 increased efficacy in terms of 50 percent reduction in headache  
7 frequency in Dr. McCrory's analysis. The result is not  
8 statistically significant. You can see that there's a slight  
9 overlap of that diamond at the bottom, which is the overall  
10 result that includes the value 1. The associated probability  
11 is .145. Because it's not less than 5 percent, we can't say  
12 that it's statistically significant, but it's in the direction  
13 that we've seen for the other pain end points.

14 THE COURT: Could you say that again? So is it  
15 effective or not effective for migraine?

16 THE WITNESS: So there's a trend towards  
17 effectiveness, but we can't conclude that that trend is  
18 statistically significant because the confidence interval for  
19 the odds ratio includes the value 1.

20 THE COURT: Right, so you can't say scientifically?

21 THE WITNESS: I can't say statistically that it is --  
22 you know, there's still a 14 percent chance that the true value  
23 is 1, that the true value that there's no effect is 1. For it  
24 to be statistically significant, we'd like that probability to  
25 be less than 5 percent. So we describe this result as a trend

1 in that direction, but it's not a statistically significant  
2 trend.

3 Q. Just based on these three studies, correct?

4 A. That's correct.

5 Q. What would happen if instead of three studies there were  
6 three identical twin studies with them that made for six with  
7 the identical result?

8 MR. SOBOL: Objection.

9 THE COURT: Sustained.

10 MR. HOOPER: Go up to Slide 35, please.

11 Q. Doctor, can you explain what this chart shows, and  
12 particularly the two orange bars on the bottom?

13 A. This is my reanalysis of those data using a more complete  
14 statistical model, and it shows that the odds ratio went from  
15 1.54 to 1.62. It's a little closer to being statistically  
16 significant but still not statistically significant, again,  
17 showing a fairly similar trend as Dr. McCrory found for the  
18 analysis of these three studies.

19 MR. HOOPER: Go to Slide 36, please.

20 Q. You cited in your report a study we talked about with  
21 Dr. McCrory when he was here, a study by a Dr. Trapani and  
22 others from Italy. You're familiar with the Trapani study?

23 A. Yes, I am.

24 Q. And, first, is there a principle in science called  
25 "consistency"?

1 A. Yes.

2 Q. Can you explain to the jury how consistency would apply  
3 here.

4 A. Well, the foundation of science is reproducibility --

5 MR. SOBOL: Objection, your Honor. Beyond the scope,  
6 another report.

7 THE COURT: The word "consistency"? I'll allow that.  
8 Go ahead.

9 MR. SOBOL: Well, I think it's leading to something,  
10 but go ahead.

11 THE COURT: Go ahead.

12 A. The simple point is that we want to for any scientific  
13 finding show that it's reproducible in other people's hands,  
14 and this is a study that shows that the effect that we observed  
15 that was a trend in the direction of decreased headache  
16 frequency, when expressed as 50 percent or more in both  
17 Dr. McCrory and my analysis, is further substantiated by the  
18 results of the Di Trapani study that showed statistically  
19 significant reduction in overall headache frequency.

20 Q. And was this a study in migraine prophylaxis or  
21 prevention?

22 A. Yes, it was.

23 Q. And, again, what were the results?

24 A. The results showed that gabapentin led to a statistically  
25 significant decrease in the frequency of migraine headache

1 pain.

2 Q. And this was a randomized double-blind placebo-controlled  
3 clinical trial?

4 A. Yes, it was.

5 MR. HOOPER: Slide 37, please.

6 THE COURT: Were the other three DBRCTs as well?

7 THE WITNESS: Yes, they were.

8 Q. And, Doctor, you also cited in your report a study by  
9 Dr. Spira and colleagues from Australia. Was this a study in a  
10 condition called "chronic daily headache"?

11 A. Yes, it was.

12 Q. Was this also a randomized double-blind placebo-controlled  
13 clinical trial?

14 A. Yes, it was.

15 Q. And what result did this trial find for gabapentin and  
16 chronic daily headache frequency?

17 A. Again, reproduced the effects observed by Di Trapani,  
18 showing a statistically significant reduction in the frequency  
19 of headaches with gabapentin relative to placebo.

20 MR. HOOPER: Slide 38, please.

21 Q. And does the Spira study describe a relationship between  
22 chronic daily headache and migraine?

23 A. Yes. They describe it on the same continuum.

24 THE COURT: Could you just say that. What is CDH?

25 MR. HOOPER: Chronic daily headache.

1 THE COURT: Headache. Is that a migraine?

2 THE WITNESS: It's kind of a mini migraine, as I  
3 understand it. I'm not an expert in that, but the authors  
4 described it sort of as a threshold on the continuum of  
5 migraine headache. It's a chronic daily headache. It's a  
6 headache that keeps recurring.

7 MR. HOOPER: Slide 39.

8 Q. Dr. Gibbons, what is your opinion as to the efficacy of  
9 Neurontin or effectiveness of Neurontin in neuropathic pain  
10 relative to Dr. Perry's?

11 A. My findings and my interpretation of Dr. Perry's findings  
12 are very consistent. We see that regardless of the end point,  
13 be it individual pain scores, or 50 percent reduction in pain  
14 scores, or achieving a moderate to greater benefit in terms of  
15 the reduction of pain scores, there are both statistically  
16 significant and clinically significant differences that show  
17 clearly that gabapentin is efficacious in the treatment of  
18 neuropathic pain.

19 Q. Doctor, what is your opinion on Dr. Jewell's unblinding  
20 hypothesis as to the Backonja study?

21 A. The data clearly do not support an unblinding hypothesis.  
22 First, the benefits in pain occurred before the emergence of  
23 the side effects. Second, the side effect of nervousness  
24 actually led to increased pain, not to decreased pain. And,  
25 finally, and perhaps most importantly, there was no evidence of

1 change in pain in the control group. The control patients, the  
2 placebo patients, experienced CNS side effects, but they did  
3 not experience any change in pain. Unblinding occurs both for  
4 treated patients and control patients. Anyone who experiences  
5 the side effect should then experience a reduction in pain. It  
6 shouldn't be restricted to the treatment arm.

7 Q. What is your opinion vis-a-vis Dr. McCrory as to  
8 effectiveness in migraine headache?

9 A. Based on his analysis and my reproduction of that  
10 analysis, I find very similar results to Dr. McCrory, and my  
11 interpretation of that is a trend in the direction of increased  
12 benefit. When you add in the Di Trapani study and the Spira  
13 study, what you see is that this is a reproducible effect; and  
14 in these other two studies, even individually, there are  
15 statistically significant improvements.

16 Q. Doctor, do you hold each of those opinions to a reasonable  
17 degree of scientific certainty?

18 A. Yes, I do.

19 MR. HOOPER: Pass the witness.

20 THE COURT: Let's stand and stretch for a second.

21 (Pause.)

22 THE COURT: All set? Let's go.

23 MR. SOBOL: May I inquire, your Honor?

24 CROSS-EXAMINATION BY MR. SOBOL:

25 Q. Sir, will you please tell the jury when you first started

1 taking money from Pfizer and Parke-Davis to defend it in  
2 Neurontin claims?

3 A. I don't know off the top of my head, but it's probably  
4 been two years maybe, a year and a half, something like that.

5 Q. So for about a year and a half, two years, you've been  
6 taking money actually from the Pfizer lawyers to defend it in  
7 Neurontin claims, correct?

8 MR. HOOPER: Object.

9 THE COURT: Sustained just to phrasing.

10 Q. Well, have you ever met anybody from Pfizer in connection  
11 with your work?

12 A. Uhm, I believe I met in connection with the litigation  
13 some of the -- one or more of the lawyers who work directly for  
14 Pfizer, yes.

15 Q. Other than lawyers, have you met anybody else from Pfizer?

16 A. I think I may have, you know, but --

17 Q. Did you meet anybody from Pfizer today when you were here  
18 in the court? Is there anybody from Pfizer here today?

19 A. Uhm. . .I recognize him.

20 Q. Okay. And who is he?

21 THE COURT: It's like a Perry Mason moment.

22 (Laughter.)

23 Q. Who is he?

24 A. Well, I think he's one of the lawyers for Pfizer.

25 Q. Apart from lawyers, have you ever met a nonlawyer from

1 Pfizer in connection with your work? Yes or no.

2 A. I have not worked with the drug companies as a consultant,  
3 no.

4 Q. Isn't it fair to say, sir, that you made almost \$400,000  
5 last year from Pfizer counsel defending it in Neurontin claims?

6 MR. HOOPER: Objection.

7 THE COURT: Overruled.

8 A. I don't know the exact amount. That may be correct. I  
9 never expected to be spending as much time in this courtroom  
10 when I took on this job.

11 Q. I'm not asking a time limit. I asked you a very simple  
12 question. You're a statistician. Isn't it fair to say that  
13 you made approximately \$400,000 last year paid to you by Pfizer  
14 lawyers to defend it in Neurontin claims?

15 A. I don't know if it's \$400,000, so I can't really answer  
16 that question.

17 Q. We have some of your bills. Can you go to a --

18 MR. SOBOL: Can I have a binder for his bills.

19 May I approach, your Honor?

20 THE COURT: Yes.

21 Q. Sir, I put before you a photocopy of some bills that you  
22 provided some other lawyers in this litigation. Those are your  
23 bills, correct? Yes or no.

24 (Witness examining documents.)

25 Q. Yes or no.



1 A. I can't say that -- you've handed me a large group of  
2 paper. You know, I can't say that they're all my bills unless  
3 I look at them.

4 (Witness examining documents.)

5 MR. HOOPER: Your Honor?

6 THE COURT: Yes.

7 MR. HOOPER: Can we have a very brief side bar on  
8 these?

9 THE COURT: Is this about that this is a bigger --  
10 You know, I just want to remind you that without going  
11 into the details, this is a huge litigation that has many  
12 subparts, and this is one part of it. But Dr. Gibbons -- is  
13 this the point? -- may have been involved, in fact I know for a  
14 fact was involved in other parts, other cases being brought  
15 involving Neurontin. So I think Mr. Sobol's question goes to  
16 all the litigation as a whole, right?

17 MR. SOBOL: Correct.

18 THE COURT: All right, just so there's no confusion  
19 here.

20 A. Yes, what you've put in front of me are my invoices.

21 Q. And are you charging \$500 an hour?

22 A. That's correct.

23 Q. And you do all the work yourself, correct?

24 A. That's correct.

25 Q. Nobody else helps you at any point in time in connection

1 at least with the work that you did in this case, for this  
2 case, the purchase and claim case, correct?

3 A. I believe that to be correct. I did have some help in one  
4 of the other cases.

5 Q. And I noticed when I went through these bills, I noticed  
6 that you never work a half of an hour or a third of an hour.  
7 It's always just to the rounded hour; is that correct?

8 A. That's correct.

9 Q. And I also noticed, by the way, when I go through these  
10 bills, it's usually billed eight hours or fifty hours,  
11 forty-four hours, forty hours, thirty-two hours, all sort of  
12 even number hours rather than odd number hours. Do you always  
13 work an even number of hours rather than an odd number of  
14 hours, or do you round to the even hour also?

15 A. I believe there's a -- in the one in front of me, I have  
16 three hours. I believe that's an odd number.

17 Q. Correct. And I also found one other time, another time  
18 where there was an odd number, but nowhere else in these bills  
19 did I see you working an odd number of hours. Is that a habit  
20 of yours?

21 A. My billing habits are to work for typically the course of  
22 a day or whatever is required. Many times if I have worked  
23 nine hours, I bill for eight hours. It's usually the work I do  
24 on these sorts of things consumes either a half of my day or  
25 all of my day, and I bill accordingly.

1 Q. Okay. So as a statistician, you round to the every even  
2 hour when you're billing?

3 A. The data in front of me suggests that that's not the case.

4 Q. Now, you're not a medical doctor, correct?

5 A. That's correct.

6 Q. You've never practiced medicine, correct?

7 A. Definitely not.

8 Q. You never treated anybody with migraine?

9 A. No.

10 Q. Or with nociceptive pain?

11 A. No.

12 Q. Or neuropathic pain?

13 A. No.

14 Q. You've never led a clinical study that involved the study  
15 of pain, whether nociceptive, neuropathic, or other, correct?

16 A. I've participated in studies as a study statistician.

17 Q. Have you led a study?

18 A. No, I haven't led a study.

19 Q. Have you led a study in migraine?

20 A. No.

21 Q. In this case you know that there are some other people who  
22 have opined. For instance, Dr. Perry, you know that he is a  
23 medical doctor too, correct?

24 A. I know that he is a medical doctor.

25 Q. You know that Mr. McCrory is a medical doctor, correct?

1 A. I believe so.

2 Q. You also know that Dr. Abramson, did you review any of the  
3 material of Dr. Abramson to critique his analysis of pain or  
4 migraine?

5 A. Not that I recall.

6 Q. In any event, in this case, I think you testified that  
7 Pfizer gave you some underlying data, didn't it?

8 A. That's correct.

9 Q. In fact, was it hard for you to get the data?

10 A. I actually asked Pfizer to get the data.

11 Q. And did they say, "No, we can't give it to you"?

12 A. No. They were quite compliant in giving me the data.

13 Q. No reluctance on their part at all to give you the data,  
14 correct?

15 MR. HOOPER: Objection.

16 THE COURT: Sustained.

17 Q. So when you asked for the data, you asked for all of the  
18 underlying data regarding, I think, each of the pain studies,  
19 correct, that you were going to look at, correct?

20 A. I asked for all of the underlying data for the parallel  
21 group randomized placebo-controlled trials.

22 Q. Okay. Now, are you aware of a group call the Cochrane  
23 Study or the Cochrane Collaborative or something like that?

24 A. Yes.

25 Q. Please tell the jury, do you think that's a fairly well

1 regarded collaboration or effort or not?

2 A. Well, personally I think that the Cochrane Collaboration  
3 uses some of the wrong statistical models. Some people regard  
4 them highly; other people don't. They're meta-analyzers, and  
5 they typically review the available data.

6 Q. Are you aware that the Cochrane Collaborative tried to get  
7 the underlying data from the exact same studies that you asked  
8 Pfizer to give you the underlying data? Do you know that?

9 MR. HOOPER: Objection. Assumes facts not --

10 THE COURT: Overruled. Do you?

11 THE WITNESS: No, I don't know that.

12 Q. Do you have any reason why it is that Pfizer or its  
13 counsel might trust you with the data but won't trust Cochrane  
14 with the data?

15 A. No.

16 Q. Have you always been truthful about your relationship with  
17 Pfizer?

18 A. I believe so, yes.

19 Q. Do you recall writing a letter to the editor of the  
20 Archives of General Psychiatry in March of 2009?

21 A. No.

22 MR. SOBOL: What number is it in the book?

23 Q. If you go to the tab March 15, 2009, in the binder that's  
24 in front of you, please.

25 MR. SOBOL: Can we put that up on the screen.

1 Q. Do you recall this letter?

2 A. It looks like a letter I wrote. I don't remember it  
3 offhand. I can read it.

4 Q. Well, let me draw your attention then to one particular  
5 thing, since we are on a time. In the second full paragraph --

6 MR. HOOPER: Objection, your Honor. We need a  
7 side bar.

8 THE COURT: All right.

9 SIDE-BAR CONFERENCE:

10 MR. HOOPER: The objection to this is, it's not an  
11 exhibit, it's not disclosed. They're displaying it to the  
12 jury.

13 THE COURT: Yes, that will come off. That's the rule.

14 MR. SOBOL: It was disclosed.

15 THE COURT: Excuse me. We have the rule straight up.  
16 It can't be on a screen unless it's an exhibit. Now, you can  
17 ask about it.

18 MR. SOBOL: Or a chalk. But I'll ask about it.  
19 That's fine.

20 THE COURT: So who's doing the documents? Are there  
21 any others like this?

22 MR. SOBOL: I'll talk to Corinne about it.

23 THE COURT: Okay.

24 (End of side-bar conference.)  
25

1 BY MR. SOBOL:

2 Q. Sir, on or about March 15, 2009, did you write a letter to  
3 the editor --

4 THE COURT: Have you had a chance to look at that  
5 letter now?

6 THE WITNESS: Yes, I have.

7 Q. On that date, did you write a letter to the editor of the  
8 Archives of General Psychiatry, which, by the way, is at your  
9 own institution, the University of Illinois? You wrote them a  
10 letter, right?

11 A. What's at my own institution?

12 Q. I'm sorry. You wrote a letter to the editor of the  
13 Archives of General Psychiatry on or about March 15, 2009,  
14 correct?

15 A. Correct. This was about a paper that we had submitted.

16 Q. And then didn't you tell that editor -- he was considering  
17 whether or not to accept for publication something that you  
18 wanted to get published in his journal, correct?

19 A. That's correct.

20 Q. And you were trying to make a full and complete disclosure  
21 about your relationship with Pfizer at the time that you did  
22 that, correct?

23 A. I was making a --

24 Q. Yes or no.

25 A. Well, it's not just Pfizer. It's also the U.S. Department

1 of Justice. It's also Wyeth.

2 Q. I asked just about Pfizer. We're not talking about  
3 everything else in the letter, about Pfizer. Isn't it fair to  
4 say that you were trying to tell the complete and honest truth  
5 about your relationship with Pfizer in this letter?

6 A. Yes.

7 Q. You say about somewhere in the second paragraph here,  
8 probably about ten lines down or so where it says, "I was paid  
9 for my time as an expert witness." Do you see where I'm  
10 beginning to read here?

11 A. Yes, I do.

12 Q. You say, "I was paid for my time as an expert witness for  
13 my analysis of the gabapentin data, but I have not been paid by  
14 Pfizer for my work on the bipolar cohort which forms the basis  
15 of the paper that you are currently reviewing."

16 Did I read that correctly?

17 A. Yes, you did.

18 Q. Did you tell that editor that at that time?

19 A. Could you repeat the your question?

20 Q. I'll repeat the question. I'll ask it this way: You lied  
21 to him, didn't you?

22 A. No, of course not.

23 Q. Well, let's go to your bills. Can you please go to your  
24 bill for August 6, 2008.

25 MR. HOOPER: Can we have a copy?



1 THE COURT: Is that part of the documents that you've  
2 been --

3 MR. SOBOL: Yes.

4 MR. HOOPER: It wasn't produced to us.

5 MR. CHEFFO: The letter, Mr. Sobol.

6 THE COURT: Was that disclosed last night, the letter?

7 MR. SOBOL: Yes, it was, your Honor. It was also  
8 produced by their witness.

9 Q. Now, sir, isn't there a bill in August 6, 2008, to Pfizer  
10 counsel that says "Construct statistical database, compute  
11 summary of statistics, and perform screening analyses for BP  
12 cohort, 50 hours, \$25,000"? Yes or no.

13 A. Yes.

14 Q. Let's turn to your opinions about Professor Jewell.  
15 First, it's fair to say that it's not Professor Jewell that  
16 came up with this notion about the potential unblinding in the  
17 Backonja study, correct?

18 A. Correct.

19 Q. All right. This isn't something that he particularly made  
20 up. It's an issue that even Backonja himself was struggling  
21 with. True?

22 A. True.

23 Q. Okay. Now, you're aware, of course, that Dr. Backonja is  
24 probably in a better position than you to decide what's  
25 clinically important and what's not clinically important in

1 terms of changes in pain scores, correct?

2 A. Certainly Dr. Backonja who's a physician understands the  
3 clinical nature of this better than I do. My analysis is a  
4 more complete analysis of the data, and so I would feel that --

5 THE COURT: At this point, though, we're trying to  
6 finish you up, so answer his question.

7 So why don't you ask the question again.

8 Q. Dr. Backonja specializes in studying pain, correct?

9 A. Correct.

10 Q. Okay. Unlike you, he actually focuses on this quite a bit  
11 and spent huge amounts of time, probably even more than you did  
12 last year billing \$400,000, he spent huge amounts of time on  
13 this study?

14 MR. HOOPER: Objection.

15 THE COURT: Sustained. Mr. Sobol, just one question.  
16 Now, what's the question?

17 MR. SOBOL: Fair enough.

18 Q. Sir, isn't it fair to say that Dr. Backonja, that you  
19 would understand that his judgment should be respected by this  
20 jury in terms of what a clinically important result might be?

21 A. Yes, I do.

22 Q. And you made observations, didn't you, when you read the  
23 Backonja study as to what Dr. Backonja thought would be  
24 clinically important rather than what you might think is  
25 clinically important? Did you make that observation?

1 A. No.

2 MR. SOBOL: Let me go to my slide.

3 Q. Did you notice the discussion by Dr. Backonja when he was  
4 trying to figure out how to power his study?

5 A. No.

6 Q. Okay. First, actually let's go to the -- well, did you  
7 notice that, first, that he had written that there were  
8 published results in clinical trials that show a wide variation  
9 of placebo response, ranging from 10 to 40 percent?

10 A. No.

11 MR. SOBOL: Let me go to the Backonja article itself  
12 so I can show the witness this, please, first. And then go to  
13 Page 1833 on it. It's like three pages in.

14 Q. Dr. Backonja had to do a power calculation or a power  
15 analysis in order to figure out, among other things, how many  
16 people to put in the study, correct?

17 A. That's correct.

18 Q. And we're dealing here with subjective pain scores, right,  
19 so we are concerned by definition about potential unblinding,  
20 correct?

21 A. Correct.

22 Q. All right. And indeed you also notice that the Backonja  
23 study had forced titration, meaning that people kept on getting  
24 more and more Neurontin, if they were on the Neurontin arm, up  
25 to I think 3,600 milligrams, unless they had reported a side

1 effect? Do you recall that?

2 A. I recall there was a titration introduction to the study,  
3 yes.

4 Q. Well, it was called "forced titration" even here.

5 A. Yeah.

6 Q. Now, in looking at the power analysis, Dr. Backonja first  
7 observed that the -- now that I have this on the screen -- that  
8 the placebo response ranged from 10 to 40 percent, correct?

9 A. Correct.

10 Q. Okay. And then if we drop down to where it says "Therefore,  
11 in this," right, "Therefore, in this trial of 8 weeks'  
12 duration, we considered 30 percent a conservative estimate of  
13 placebo response, that is, at least an improvement on the  
14 CGIC," of 30 percent, correct?

15 A. Correct.

16 Q. That's what they expected people who were just getting a  
17 sugar pill to improve, correct?

18 A. Correct.

19 Q. And then Dr. Backonja wrote, and he was being funded by  
20 Parke-Davis at the time, "A gabapentin response of 55 to  
21 60 percent on the same scale would be considered a clinically  
22 important finding."

23 Did I read that correctly?

24 A. Yes, you did.

25 Q. Okay. Now, does this refresh your memory about how

1 Dr. Backonja powered the study and what he thought a clinically  
2 important result might be?

3 A. It certainly seems consistent with that.

4 Q. Now, when you were doing your write-up, did you try to  
5 figure out whether or not the results of the Backonja, even as  
6 reported by Dr. Backonja, show that there was a clinically  
7 unimportant result in that study? Did you look at that at all?

8 A. Yes.

9 Q. Well, let's do that.

10 MR. SOBOL: Can we go to my chart, please.

11 Q. Now, I put up a slide. This is obviously not from  
12 Backonja's study, the slide itself, but the material is from  
13 it. Is it fair to say that he estimated a placebo response of  
14 30 percent, correct?

15 A. Correct.

16 Q. And that a clinically important Neurontin response was  
17 going to be -- at a minimum had to be 55 to 60 percent,  
18 correct?

19 A. Correct.

20 Q. Now, when you look at what actually happened over on --  
21 well, first, what the clinically important projection was over  
22 on the left here of this screen -- do you see this? -- minimum  
23 to be clinically important, they started with a mean pain score  
24 of 6.5, correct?

25 A. Correct.

1 Q. All right. And a 55 to 60 percent drop had to bring it  
2 down to 2.6 or 2.9, correct?

3 A. Correct.

4 Q. All right. And what Dr. Backonja said in his study, after  
5 review by Parke-Davis many hours, was that a clinically  
6 important drop, the kind of thing that really matters on a pain  
7 scale of, I think, 11 here -- I think it was 11 -- is a drop of  
8 3.6 to 3.9, correct?

9 A. Conditional on having a placebo response of 30 percent.

10 Q. Well, that's what they predicted would be here, 3.6 to  
11 3.9, correct?

12 A. Yes.

13 Q. Now, when you look at what ended up happening when they  
14 did the study is that the mean pain score started 10 points  
15 less, went one-tenth of a point less at 6.4, correct?

16 A. Roughly.

17 Q. Right. But it only dropped to 3.9, correct?

18 A. My estimate would be a little more like 3.5.

19 Q. Well, I understand that you have gone back and you've  
20 redone an analysis, okay. I'm talking about the way that  
21 Backonja and the scientists at Parke-Davis reported their  
22 results, not your recalculation of things.

23 A. No, I'm not saying that 3.5 is hugely different than 3.9.

24 Q. Okay. But, in any event, the drop was only then  
25 39 percent, not clinically important according to the

1 projection that Dr. Backonja had planned, correct?

2 A. Oh, I would completely disagree with that.

3 Dr. Backonja --

4 Q. No, no, there's no reason to go into an explanation of it.

5 You don't think that there was -- is there a report anywhere

6 about the clinical importance, reporting the clinical

7 importance in the Backonja study rather than statistical

8 importance?

9 A. In Dr. Backonja's paper --

10 Q. Yes or no.

11 A. -- he makes it very clear --

12 MR. SOBOL: Yes or no, your Honor.

13 THE COURT: Yes, I'll strike the answer. Listen to  
14 his question.

15 What's your question?

16 Q. Is there a report in the Backonja study that has at the  
17 end in conclusions reporting what the difference was and  
18 whether it was clinically important as opposed to statistically  
19 important?

20 A. I don't recall from -- I haven't read that report in a  
21 while.

22 Q. Now, Professor Jewell thought that it made sense that if  
23 we want to test an elevator to figure out whether or not it can  
24 hold ten men and ten women, it made sense to have all of the  
25 men and all of the women on the elevator all at the same time

1 and run it up and down. Would you agree with that?

2 A. Yes.

3 Q. Did you do an analysis where you basically backed out all  
4 the dizzy people and all the sleepy people data and simply did  
5 a calculation as to what that result from Backonja?

6 A. I don't understand your question.

7 Q. You've given some testimony that you did an analysis, and,  
8 in your view, some of the report of reduction in pain by some  
9 of the people who were getting sleepy or dizzy happened before  
10 they got sleepy or dizzy. Do you recall something to that  
11 effect?

12 A. Yes.

13 Q. Okay. Now, actually, what's more accurate is that there  
14 were early drops in pain scores by some people before they  
15 reported getting dizzy or sleepy, correct?

16 A. Correct.

17 Q. You don't know what was going on in their body when they  
18 were taking an active chemical before they reported being dizzy  
19 or sleepy as to whether or not it was subjectively causing some  
20 kind of unblinding? Fair to say?

21 A. Not really.

22 Q. Let's move on to your opinions regarding pain in this  
23 case. And if I understand it, you did an analysis --

24 MR. SOBOL: Can we go to the Elmo for a second,  
25 Corinne.



1 Q. You did an analysis -- excuse my "you excluded." That was  
2 on a different part of -- that's when you did the data  
3 analysis, you didn't use the POPP data, correct?

4 A. Correct.

5 Q. All right, so we'll get rid of that. That was my notes  
6 for that part of things.

7 First, my understanding is that you did essentially two  
8 forms of a pain analysis. First you looked at these studies  
9 separately, did some recalculations, and you presented some  
10 data to the jury about that part of it. That was step one, if  
11 you will, of your pain analysis. Fair to say?

12 A. Yes.

13 Q. And then step two was, you took the data, the underlying  
14 data for six of the seven or so studies, merged them together  
15 and gave us a different report. Fair to say?

16 A. Correct.

17 Q. When you set out to look at pain in this case, did you  
18 inquire as to whether or not anybody at Pfizer had ever done  
19 this same kind of an effort before?

20 A. No.

21 Q. Were you aware that in 2001, Pfizer and the FDA had looked  
22 at the exact same studies that you were looking at last year?

23 A. I assume that there was a -- if they were seeking an NDA,  
24 FDA would look at all the studies.

25 Q. Well, I guess no assumptions. I really just want to find

1 out whether you know something or not, okay? Did you know that  
2 in 2001, people at Pfizer -- and they actually had about  
3 fifteen people show up to one of the meetings -- met with  
4 people at the FDA to talk about these same studies?

5 A. I'm not sure --

6 Q. Yes or no.

7 A. I didn't know that, but I'm not sure all of these studies  
8 were available at 2001.

9 Q. Well, Backonja was, correct?

10 A. Yes.

11 Q. Rowbotham was. It was discussed. It was published the  
12 same time as Backonja, right?

13 A. I believe so.

14 Q. Reckless had just been finished, and it was discussed with  
15 the FDA in 2001. Did you know that?

16 A. No, I don't -- I wasn't there in 2001.

17 Q. Do you know that the Rice study was available and  
18 discussed between Pfizer and the FDA in 2001?

19 A. I didn't know it.

20 Q. What about the Serpell study, do you know that that too  
21 was discussed by Pfizer and the FDA back in 2001?

22 A. They may have.

23 Q. Some of these studies are for shingles-related pain,  
24 correct?

25 A. Correct.

1 Q. Some of these studies are for other forms of neuropathic  
2 pain, like for peripheral neuralgia, for instance, right?

3 A. Correct.

4 Q. Some of them are mixed, correct?

5 A. Could be.

6 Q. In this analysis of pain -- this is a legal expression --  
7 you smooshed all these studies together, correct?

8 A. I looked at these individually, and then I also  
9 synthesized the results for all of these studies on pain.

10 Q. Synthesized, I can live with synthesized. You wanted to  
11 look at these results and synthesize the result and come to a  
12 conclusion that Neurontin is good for neuropathic pain as a  
13 whole? I mean, that was your opinion, right?

14 A. Correct.

15 Q. Now, don't you know that the FDA in 2001 told Pfizer you  
16 can't do that?

17 MR. HOOPER: Objection.

18 THE COURT: Overruled.

19 A. I was not making a case to the FDA. What the FDA -- the  
20 FDA doesn't do this kind of synthesis. You do this kind of  
21 synthesis to know whether or not there is an overall efficacy  
22 of the drug. The FDA is looking for two positive randomized  
23 controlled trials. It's my understanding that for the  
24 shingles-type pain, there were two statistically significant  
25 randomized clinical trials, and they were given an indication

1 for that.

2 It's also my understanding that at the time, for the  
3 diabetic pain, the Parsons study was not available, and there  
4 was only one study that was positive that was a randomized  
5 clinical trial, and so they were denied an indication for that.  
6 The Parsons study, as I understand it, became available after  
7 2001 and would have led to a successful NDA if submitted.

8 MR. SOBOL: Motion to strike, your Honor.

9 THE COURT: Denied. I mean, you've got to do it right  
10 away.

11 MR. SOBOL: Okay, that's fine.

12 Q. So, sir, does the jury deserve from you less of making a  
13 case than you would make to the FDA? Is that your testimony?

14 MR. HOOPER: Objection.

15 THE COURT: Overruled.

16 A. My testimony is that these are apples and oranges. The  
17 kind of information that goes to getting an NDA for a  
18 particular indication --

19 THE COURT: I strike it. So just as I understand it,  
20 so the FDA decided to treat shingles, PHN, differently from the  
21 diabetic neuropathy, but you're combining them? Is that the  
22 difference?

23 THE WITNESS: That's correct.

24 Q. And at no point during your direct examination here today  
25 did you point out to the jury that you were combining shingles

1 studies with peripheral neuralgia studies, did you?

2 THE COURT: When you say "peripheral neuralgia," are  
3 you referring to the diabetic study?

4 MR. SOBOL: Yes.

5 THE COURT: So I think on initials, the abbreviations,  
6 one was DPN and one is PHN, right? Is that right? Everyone  
7 agrees? All right, so that the FDA approved the PHN, the  
8 postherpetic neuralgia, is that right? But not the DPN, the  
9 diabetic peripheral neuropathy. I think I'm going to get an  
10 M.D. after this, but, anyway, is that right?

11 THE WITNESS: That's correct.

12 THE COURT: All right, and you, though, decided to  
13 combine the studies when you did your analysis?

14 THE WITNESS: Right. I tried to look at neuropathic  
15 pain in general.

16 Q. And during your direct exam, you did not point out to the  
17 jury that you were combining the DPN and the shingles studies,  
18 correct?

19 A. Well, I pointed out to the jury which --

20 Q. Yes or no.

21 THE COURT: Yes or no.

22 A. No, I didn't.

23 Q. When you set about doing your analysis and giving your  
24 testimony to the jury, did you know, yes or no, did you know  
25 that the FDA told Pfizer back into the end of 2001 that there's

1 not sufficient clinical data to support an indication for  
2 general neuropathic pain?

3 MR. HOOPER: Objection.

4 THE COURT: Overruled.

5 A. No.

6 (Pause.)

7 MR. SOBOL: Thank you. Mr. Rona has given me a note  
8 here.

9 Q. You do understand, sir, that in this case, Kaiser is not  
10 seeking damages for postherpetic neuralgia for shingles-related  
11 pain? Do you understand that?

12 A. I'm not a lawyer. No, I don't.

13 Q. You don't know that?

14 A. I don't know that.

15 Q. And so at no point during the several months that you were  
16 planning your report and you were synthesizing the shingles  
17 studies with the DPN studies were you told, "Hey, wait a  
18 second, Kaiser isn't seeking damages for postherpetic  
19 neuralgia"?

20 A. No.

21 THE COURT: How much longer do you think you have?

22 MR. SOBOL: I've got quite a bit because we also have  
23 to do migraine.

24 THE COURT: Could you finish by 11:15 or not  
25 necessarily?

1 MR. SOBOL: No, and actually I need to take something  
2 for my break, so I need a break.

3 THE COURT: All right, so we'll take a break.

4 THE CLERK: All rise for the jury.

5 (Jury excused.)

6 (Discussion off the record.)

7 (A recess was taken, 11:00 a.m.)

8 (Resumed, 11:37 a.m.)

9 THE COURT: Let's bring this jury in. Dr. Rothschild  
10 is here?

11 MR. HOOPER: Yes, your Honor.

12 THE COURT: Seventy pages, but who's counting? And if  
13 you delete the signature pages, we could get it down to 65.

14 MR. CHEFFO: Lots of documents, important issues.

15 MR. SOBOL: Clearly struggling, your Honor.

16 THE COURT: Your RICO thing was itself a treatise.

17 MR. SOBOL: That was an exercise in brevity. 51.

18 THE COURT: Let me ask you, do you know if the  
19 plaintiffs are planning on responding in writing to the  
20 judgment?

21 MR. SOBOL: Yes.

22 THE COURT: You are. So when would you be --

23 MR. SOBOL: You would have to speak to the partner  
24 who's in charge of that to find out. He's a little timing  
25 challenged.

1 THE CLERK: All rise for the jury.

2 (Jury entered the courtroom.)

3 THE CLERK: Please be seated.

4 BY MR. SOBOL:

5 Q. Sir, one of the places that we left off were going through  
6 some of the studies that you first looked at individually, and  
7 then you synthesized the data, correct?

8 A. Correct.

9 Q. Now, just to go back over these studies again, briefly, I  
10 think it's fair to say that each of these studies, with the  
11 exception of Parson's, in the final reported version of Gordh  
12 or POPs, as far as you know were available to the FDA in 2001?

13 A. That's my understanding.

14 Q. And did you also know that although the POPs study wasn't  
15 done, and in fact, didn't get published until 2003, that at a  
16 meeting in September of 2001, the POPs study was discussed, the  
17 results were discussed, the interim results were discussed  
18 between Pfizer and the FDA?

19 A. No, I didn't know that.

20 (Discussion off the record.)

21 Q. Now, for each of these studies -- well, there's something  
22 called the primary endpoint, you understand that, correct?

23 A. Yes.

24 Q. The primary endpoint is a priori, meaning before the fact,  
25 before the results are in there's a defined primary endpoint



1 which is the primary thing that the investigators are trying to  
2 study, correct?

3 A. Correct.

4 Q. There might be secondary endpoints, or, I don't even know,  
5 are there third, tertiary endpoints, whatever?

6 A. Well, just to be accurate, you can have co-primary  
7 endpoints. There can be multiple primary endpoints, and then  
8 secondary endpoints.

9 Q. Now, for each of the studies that are here, of course,  
10 some of them differ in terms of their primary endpoint, don't  
11 they?

12 A. Yes, I believe so.

13 Q. All right. And when you gave your analysis, you did not  
14 actually report to the jury earlier today what the primary  
15 endpoint conclusions were as indicated in the reports for each  
16 of these studies, did you?

17 A. No.

18 Q. Now, are you aware that the original version of the  
19 Gorson -- actually, let me put it, also, you indicated that you  
20 did not include Gorson in your study because it was measuring  
21 something different than what you wanted to look at, correct?

22 A. What I wanted to look at --

23 Q. Yes or no?

24 A. -- and what Dr. Perry looked at.

25 Q. So you did not include Gorson, correct?

1 A. No, I couldn't have.

2 Q. Okay. Nevertheless, are you aware that at least the  
3 original report of Gorson indicated that gabapentin is probably  
4 no more effective than a placebo? Are you aware of that?

5 A. No.

6 Q. And even though -- that's a double-blind, randomized,  
7 controlled trial, correct?

8 A. Correct.

9 Q. And so although it's a double-blind, randomized,  
10 controlled trial that comes to the conclusion that Neurontin is  
11 no better than a placebo, you haven't even included that in  
12 your analysis in terms of the conclusion you've given to this  
13 jury that Neurontin is terrific, or good, for neuropathic pain  
14 as a whole, have you?

15 A. My --

16 Q. Yes or no. Have you included Gorson?

17 A. Not in my reanalysis, no.

18 Q. Are you aware that the results of the POPs study, in terms  
19 of the primary endpoint -- not some other thing, but the  
20 primary endpoint -- was that Neurontin does not affect for  
21 post-surgical or traumatic neuropathic pain?

22 A. No.

23 Q. Are you aware that the conclusion as indicated in Serpell  
24 was that Serpell did not support a broad neuropathic pain claim  
25 because the benefit was limited to shingles patients, which

1 were 14 percent, and to a very small, i.e., two percentage of  
2 patients, for PDM?

3 MR. HOOPER: Objection, your Honor.

4 THE COURT: Overruled.

5 A. No.

6 Q. In terms of the Reckless study, are you aware that in  
7 terms of the primary endpoint there was no statistically  
8 significant difference between any of the gabapentin groups and  
9 the placebo group for endpoint mean pain score for any time  
10 throughout the trial?

11 A. I'd have to go back to the study to verify that.

12 Q. Now, you showed the jury a slide 14, the defendant's slide  
13 14.

14 MR. SOBOL: Switch, please.

15 Q. Do you recall having given some testimony about this?

16 A. Yes.

17 Q. Now, isn't it fair to say that the bars that you have on  
18 this chart are actually things that you calculated, correct?

19 A. Correct.

20 Q. These aren't things that the original authors calculated,  
21 is it?

22 A. In some cases they may have, but these are my  
23 calculations.

24 Q. Right. And so this notion of an odds ratio, or whatever,  
25 is not the primary endpoint as indicated in any of these

1 studies, is it?

2 A. Not necessarily, no.

3 MR. SOBOL: Let's take that off.

4 A. Can I make one statement about this?

5 Q. Let's go to slide 17.

6 You showed to the jury this slide which purported to  
7 be a benefit-to-risk ratio using Dr. Perry's calculations. Do  
8 you recall that?

9 A. That's correct.

10 Q. Okay. And when you used the risk on the right-hand side,  
11 you're actually measuring not all adverse events, are you?

12 A. No.

13 Q. You're only measuring those adverse events which were so  
14 much that the person actually had to get out of the study,  
15 right?

16 A. The patient decided to drop out of the study, that's  
17 correct.

18 Q. Now, if -- and so, actually, if one looked at it, the  
19 adverse events' bar on this chart would be much larger if one  
20 wanted to include all adverse events, wouldn't it?

21 A. Yes, it would.

22 MR. SOBOL: Let's go to the defendant's slide 22.

23 Q. Now, you also gave some testimony -- this was what you  
24 said were the results of this synthesized effort because you  
25 were able to get the underlying data and perform an analysis,

1 correct?

2 A. Correct.

3 Q. And this, again -- this analysis, like your other  
4 analysis, includes not only GPN but also shingles studies as  
5 well, correct?

6 A. Correct.

7 Q. You did not back out the shingles studies for this,  
8 correct?

9 A. I did it the same way Dr. Perry did it.

10 Q. Well, you're also aware, by the way, there are some  
11 analyses that Dr. Perry did where he actually did back out the  
12 shingles studies. Do you recall something to that effect in  
13 his report?

14 A. Not off the top of my head, but it may have been there.

15 Q. Now, looking at slide 22 -- so, first, this has the  
16 synthesized studies, number one, and then -- then you depict  
17 these, correct? Right?

18 MR. SOBOL: If we could go to the Elmo, actually, for  
19 a moment. Sorry to jump around on you here.

20 Q. I wanted to make an observation, if I can apologize for my  
21 pigeon scratch here about.

22 First, if you look at the first three weeks on this  
23 chart -- do you see the first three weeks?

24 A. Yes.

25 Q. And actually, if you look to the right, after the first

1 three weeks, isn't it fair to say that generally the placebo  
2 line and the Neurontin line, even for the synthesized studies  
3 that include the shingles studies, starts levelling off  
4 together?

5 A. That's correct.

6 Q. Okay. There seems to be some phenomenon, although it's  
7 about one point on the pain scale, but there's some phenomenon  
8 going on here in these subjective studies that have a potential  
9 for unblinding that's going on in the first three weeks; isn't  
10 that fair to say?

11 A. I don't understand your question.

12 Q. Do you agree that a pharmaceutical company has a paramount  
13 responsibility to tell the truth about a drug that it is  
14 selling to the American public?

15 A. I'm not an expert on what pharmaceutical companies should  
16 and should not do. I think we all have an obligation to be as  
17 honest as possible.

18 Q. Well, and don't you think that a drug company should be  
19 honest and tell the truth about its products that people --  
20 that it's selling to the American public?

21 MR. HOOPER: Objection.

22 THE COURT: Overruled.

23 A. Yes.

24 Q. And how about telling the truth, the whole truth, and  
25 nothing but the truth?

1 A. Yes.

2 Q. And wouldn't you agree that one of the reasons for that is  
3 because the dollars to a drug company are simply not as  
4 important as the health and safety of Americans?

5 MR. HOOPER: Objection.

6 THE COURT: Sustained, argumentative.

7 BY MR. SOBOL:

8 Q. Let me ask it this way, then.

9 If a company were to not tell the truth about its  
10 products and a customer does not get what it thinks it's  
11 getting, do you think that drug company should be held  
12 accountable?

13 MR. HOOPER: Objection.

14 A. I'm a statistician. I'm not sure I'm in a position to  
15 answer those questions.

16 Q. Have you heard the term "snake oil"?

17 A. Yes.

18 Q. Snake oil is -- generally can mean a product that's sort  
19 of bogus and not what it really is supposed to be, right?

20 A. Correct.

21 MR. SOBOL: Why don't we go to Plaintiff's Exhibit  
22 479, which is in evidence.

23 Can you yellow highlight from "gabapentin" and  
24 "studied"?

25 Q. Now, sir, I've put up on the screen what's been marked in

1 evidence as Exhibit 479, which is an e-mail written by a Pfizer  
2 employee. It says, "Gabapentin is the 'snake oil' of the  
3 twentieth century. It has been reported to be successful in  
4 just about everything that they have studied."

5 Were you told that some people, or at least one  
6 person, in Pfizer in 1999 thought that gabapentin was the snake  
7 oil of the 20th century?

8 A. No. I saw this last night. I'm not sure this person was  
9 a Pfizer employee when he said this.

10 Q. He was.

11 Well, let me ask this. Today you have come into this  
12 court and you have told this jury that Neurontin is effective  
13 for a broad range of neuropathic pain, and you've also told  
14 this jury that Professor Jewell, Dr. Perry, and Dr. McCrory are  
15 all wrong, correct?

16 A. I wouldn't necessarily say I've said they were all wrong.

17 I believe Dr. McCrory and I came up with very similar  
18 findings based on the analysis of those three studies. I think  
19 Dr. Perry made some mistakes, but identified effects that were  
20 statistically significant and consistent across the studies. I  
21 verified those, found similar results.

22 And Dr. Jewell tried to explain the results of  
23 Backonja using an unblinding hypothesis that I believe does not  
24 fit the data whatsoever. So I definitely disagree with him in  
25 that regard, but he and I also performed analyses of the



1 original Backonja article that produced very similar  
2 statistically significant findings.

3 Q. And so let me ask you then, if you knew at the time that  
4 Pfizer first came to you and said, We want you to come to an  
5 opinion about these gentlemen's reports but at that time you  
6 also knew that Pfizer insiders called Neurontin snake oil,  
7 would you sill have accepted the job?

8 MR. HOOPER: Objection, your Honor?

9 BY MR. SOBOL:

10 Q. Yes or no?

11 THE COURT: Overruled.

12 A. Yes.

13 MR. SOBOL: Nothing further, your Honor.

14 MR. HOOPER: I'll say right here, your Honor, two  
15 minutes.

16 First put up slide 14, our slide 14.

17 REDIRECT EXAMINATION

18 BY MR. HOOPER:

19 Q. Dr. Gibbons, recall earlier this morning we showed the  
20 jury the results of your analysis in this format?

21 A. Yes, I do.

22 Q. When Mr. Sobol just questioned you, he asked you whether  
23 you disclosed to the jury that your studies were for different  
24 indications.

25 Would you look across the bottom row of the chart that

1 we showed the jury and tell the jury what those acronyms and  
2 the word "mixed NEP" means on the slide?

3 A. They describe the study indications diabetic peripheral  
4 neuropathy and the other one, PHN. So, in fact, that was  
5 disclosed in my testimony.

6 Q. POPP under Gordh is postoperative pain?

7 A. Yes.

8 Q. And mixed NEP is mixed neuropathic pain?

9 THE COURT: What is mixed neuropathic pain?

10 MR. HOOPER: A variety of different pain conditions.  
11 Sorry.

12 MR. SOBOL: Let's put Mr. Hooper on the stand.

13 MR. HOOPER: I'd be happy to.

14 THE COURT: I think we all get MDs, honorary, anyway.  
15 What was the NEP, again?

16 THE WITNESS: Mixed indications, different kinds of  
17 pain.

18 BY MR. HOOPER:

19 Q. By the way, this smooshing or synthesizing or combining of  
20 data, is that exactly the same thing that Kaiser's expert,  
21 Dr. Perry, did?

22 A. Yes, it is.

23 Q. And wasn't that the whole point, to try to match what he  
24 did?

25 A. Absolutely.

1 MR. HOOPER: Okay. Now, can we bring up -- can you  
2 bring up your 479 again? It is the last slide that you just  
3 showed.

4 This document about -- from 1999 about gabapentin,  
5 snake oil, et cetera.

6 Can we look at the bottom of that document and blow up  
7 the bottom paragraph, beginning with the "Hi, Geoff," and the  
8 quote right below it, down to about right there. That's fine.

9 Q. Do you see there that somebody there named Amanda is  
10 writing to somebody named Geoff and says, "A new study has  
11 shown that Warner-Lambert's convulsant gabapentin can  
12 significantly reduce symptoms of social phobia but the company  
13 is not planning to develop gabapentin for this indication  
14 because the U.S. patent expires next year."

15 Do you see that?

16 A. Yes.

17 Q. Do you notice that it doesn't say a study that our  
18 anticonvulsant gabapentin, does that?

19 A. I did notice that.

20 Q. Do you understand this is before the merger and Pfizer was  
21 actually a competitor of Warner-Lambert at this time?

22 A. That was the basis of my statement that this person did  
23 not -- it was my understanding did not work for Pfizer, it was  
24 a competitor.

25 Q. And they're reacting to a positive study?

1 A. Correct.

2 Q. About a competitor's product.

3 All right. You were asked about a letter you wrote --  
4 well, first you were asked about a bill that you sent that  
5 mentioned a bipolar cohort in August 2008, and then a letter  
6 that you wrote in March 2009 about eight months later. And the  
7 bill mentions a bipolar cohort, and the letter, eight months  
8 later, mentions a bipolar cohort which forms the basis of the  
9 paper you're currently reviewing.

10 Same cohorts?

11 A. No.

12 Q. What was the difference between the two and why is it that  
13 the one in the invoice isn't the one in the letter?

14 A. The one in the invoice was from the original data set that  
15 I had gotten from the PharMetrics company.

16 Q. And what is that?

17 A. That is a database of 131,000 patients who were treated  
18 with gabapentin.

19 Q. Is that a national sample?

20 A. It's a national sample of over 50 million lives covered  
21 and nationally representative.

22 Q. And why couldn't you use the cohort in the invoice in the  
23 paper nine months later?

24 A. Because only 2.9 percent of the 131,000 patients who were  
25 treated with gabapentin had a diagnosis of bipolar. And of

1 those 2.9 percent, 70 percent of them also had a diagnosis for  
2 pain. So it wasn't relevant to the case for which that study  
3 was being conducted.

4 MR. HOOPER: Nothing further, your Honor.

5 MR. SOBOL: Nothing further, your Honor.

6 THE COURT: Thank you.

7 Now, again, we have another doctor here, so we still  
8 have maybe 15 minutes of Dr. Glanzman remember from the  
9 deposition, but just because I'm trying to accommodate these  
10 doctors' schedules, if it's possible to get them on and off,  
11 I'm not sure now, I'd like to try to it.

12 Is Dr. Rothschild here?

13 MR. HOOPER: Yes, your Honor. Pfizer calls  
14 Dr. Anthony Rothschild. We're ready to proceed.

15 ANTHONY ROTHSCCHILD, having been duly sworn by the  
16 Clerk, was examined and testified as follows:

17 THE CLERK: Thank you. You may be seated. And would  
18 you please state your name and spell it for the record.

19 THE WITNESS: Dr. Anthony Rothschild,  
20 R-o-t-h-s-c-h-i-l-d.

21 DIRECT EXAMINATION

22 BY MR. HOOPER:

23 Q. Dr. Rothschild, what is your profession?

24 A. I'm a psychiatrist.

25 (Discussion off the record.)

1 MR. HOOPER: Mr. Alba, can you switch us again?

2 Q. Doctor, as a psychiatrist, where do you work?

3 A. I'm a professor of psychiatry at the University of  
4 Massachusetts Medical School in Worcester, Massachusetts.

5 Q. Where did you get your medical training, sir?

6 A. After graduating from Princeton I went to medical school  
7 at the University of Pennsylvania School of Medicine in  
8 Philadelphia, and then I came up to Boston and did my  
9 internship at Mass. General Hospital and Mt. Auburn Hospital in  
10 medicine and neurology and then my psychiatry residency at  
11 McLean Hospital in Belmont, Mass.

12 Q. Are you certified in any medical specialty?

13 A. Psychiatry.

14 Q. How long have you taught psychiatry in medical schools?

15 A. Well, after finishing my residency at McLean I went on the  
16 faculty of Harvard Medical School, so that's 1983, and I taught  
17 medical students and residents at Harvard; and then in 1996  
18 moved to University of Massachusetts Medical School, and I've  
19 been doing that there as well.

20 Q. And while you were teaching at Harvard were you a director  
21 of psychopharmacology at McLean Hospital?

22 A. I was the associate director, yes.

23 Q. What does psychopharmacology refer to?

24 A. Simply that's the use of medications to treat psychiatric  
25 illness.

1 Q. And while at Harvard Medical School were you also the  
2 clinical director of the mood, anxiety and trauma disorders  
3 program?

4 A. Yes.

5 Q. What does that mean?

6 A. Well, actually, I started out as a psychiatrist in charge  
7 of the depression treatment and research unit, and then in 1988  
8 I was promoted to this position. Basically I supervise several  
9 inpatient units, an outpatient program, a partial hospital  
10 program that treated mood, anxiety and trauma, PTSD.

11 Q. Briefly, what are mood disorders?

12 A. Mood disorders would be including unipolar depression,  
13 where people just suffer from depression; as well as bipolar  
14 disorder, where people have depressive and manic episodes.

15 Q. And briefly, what are anxiety disorders?

16 A. Includes a number of things, general anxiety disorder,  
17 panic disorder, social phobia order, obsessive-compulsive  
18 disorder.

19 Q. Can a patient's clinical presentation, their problem,  
20 include both mood and anxiety disorders at the same time?

21 A. Yes. Actually, that's fairly common.

22 Q. Did you move to the University of Massachusetts Medical  
23 School about 14 years ago?

24 A. Yeah, in July of 1996.

25 Q. What is your position at UMass Medical School today?

1 A. I have an endowed chair. I'm very fortunate to have the  
2 Brudnick endowed chair in psychiatry and I'm also director of  
3 the Center For Psychopharmacological Research and Treatment and  
4 I also have had other positions there as well.

5 Q. What are some of the other positions you've had at UMass?

6 A. I was director of clinical research, also vice chair for  
7 research. I also direct the Senior Scholars Program, which is  
8 a program for medical students who want to get into research.

9 Q. Are you pretty familiar, Doctor, with the way that  
10 scientists study potential new treatments for psychiatry  
11 problems?

12 A. Yes.

13 Q. Are you familiar with the way that clinical trials of  
14 medications are designed, for example, randomized,  
15 double-blinding?

16 A. Yes. I've been an investigator on many clinical trials.  
17 I've also designed clinical trials.

18 Q. When you train new psychiatrists at UMass, do you teach  
19 the students how to interpret the various kinds of clinical  
20 trials and studies and apply that to their practice?

21 A. Yes. Actually, I'm a co-director of a course for  
22 third-year residents where that's the whole purpose of the  
23 course. We take articles from the literature and we review  
24 them and critically review them and then ask the basic question  
25 that many of these psychiatrists who -- they're going into



1 practice, they're not going to be working at a university --  
2 how do you, after you graduate, read the literature and apply  
3 it to your practice?

4 Q. Are you generally familiar with the FDA approval process,  
5 evaluating the safety and efficacy of new medications, just in  
6 general?

7 MR. GREENE: Objection, your Honor.

8 THE COURT: Overruled.

9 A. Yes, I am.

10 Q. Before we get to your own publication experience,  
11 Dr. Rothschild, let me ask you, were you present here in the  
12 courtroom early on during the first three days of the trial?

13 A. Yes.

14 Q. Did you hear the testimony of Kaiser's witnesses,  
15 Drs. Abramson, Dickersin, and Barkin?

16 A. Yes.

17 Q. Did you also see Dr. Furberg's testimony the other day?

18 A. Yes, I did.

19 Q. Had you read their expert reports even before you heard  
20 their testimony in the courtroom?

21 A. Yes.

22 Q. When were their reports dated, and when did you get a  
23 copy?

24 A. I think they were dated sometime in the middle of 2008,  
25 and I think I received them probably towards the end of 2008.

1 Q. Had you read Dr. Dickersin's article in the New England  
2 Journal of Medicine that came out last November before he  
3 started testifying?

4 MR. GREENE: Objection, your Honor.

5 THE COURT: Sustained.

6  
7 BY MR. HOOPER:

8 Q. Are you generally familiar with what the Kaiser witnesses  
9 had to say during the course of this trial about Neurontin?

10 A. Yes.

11 Q. How many articles have you published in peer-reviewed  
12 journals?

13 A. Probably over a hundred.

14 Q. Are you yourself a peer-reviewer for medical and  
15 scientific journals?

16 A. Yes.

17 Q. Which ones?

18 A. Well, quite a -- I'll list a couple. American Journal of  
19 Psychiatry, the New England Journal of Medicine, Archives of  
20 General Psychiatry, and -- you know, more than 17.

21 Q. Do you stay current on the medical and scientific  
22 literature about treating psychiatric problems with medication?

23 A. That's actually part of my job, yes.

24 Q. Did your assignment in this case include evaluating the  
25 reports of two Kaiser experts, Dr. Barkin and Dr. Furberg?

1 A. Yes, it was.

2 Q. And were you asked to evaluate their claims that Neurontin  
3 increases what they call depression with or without suicide  
4 ideation?

5 A. That's correct.

6 Q. Were you also asked to evaluate their claims that  
7 information about depression and suicidality wasn't properly  
8 disclosed?

9 A. Yes, I was.

10 Q. Would you please state the sources of information that you  
11 used to develop your opinions in this case on this question?

12 A. Well, there were a couple of sources. I looked at the  
13 results that were published, double-blind, randomized,  
14 controlled clinical trials, DBRCTs, that use validated rating  
15 scales for depression. I looked at the Neurontin --

16 Q. Let me stop you right there, Doctor, for a second. When  
17 you say a validated clinical rating instrument for depression.  
18 Can you explain to those of us who aren't familiar, what are  
19 you talking about there?

20 A. Well, the thing is, when you do research in psychiatry,  
21 it's different, say, from putting a chest x-ray up and saying  
22 there's the lesion. We have standardized rating scales that  
23 researchers use to assess the degree of depression. So  
24 examples of that, for example, would be the Hamilton depression  
25 rating scale, or the MMPI; there's a couple of them.

1 Q. And are those questionnaires, in effect, that ask a series  
2 of questions about a patient's depression?

3 A. Well, yes. There are specific questions that are asked  
4 and then, depending on what the answer of a person is, there  
5 are what are called anchors to give them a score based on what  
6 they say. And it's even a little more complicated than that,  
7 there's sometimes follow-up questions.

8 Q. Are those rating instruments, is that something instead of  
9 having an x-ray taken do you have the Hamilton-D administered  
10 regularly during a trial?

11 A. Well, yes. You might do them every week, sometimes it  
12 could be every two weeks. It's -- it could be every month.  
13 But, yes, you would administer them on a regular basis  
14 throughout the study.

15 THE COURT: So like what kind of questions?

16 THE WITNESS: Well, item number one is mood, which is,  
17 are you depressed? Item number three asks about suicidal  
18 thinking. Do you have any thoughts? Do you have any plans?  
19 There are questions on there about energy level, sleep.  
20 There's actually three questions on sleep. I'm referring to  
21 the Hamilton depression rating scale. There's questions  
22 about --

23 THE COURT: So is it yes/no or is it a scale of --

24 THE WITNESS: No, no, it's a scale from zero to three  
25 and some items four.

1 BY MR. HOOPER:

2 Q. And depending on which version you use, there are about 23  
3 to 29 questions on the different scales, correct?

4 A. Some have 17 -- when I say it's standardized, for example,  
5 what's considered normal mood, not depressed, would be a score  
6 of, say, 8 or less.

7 So when you treat a person and you want to get them  
8 into remission, you make them no different than somebody who is  
9 not depressed, that would be a Hamilton score less than 8.  
10 Above 30 is severe depression. Eighteen up to 25, that's  
11 moderate depression.

12 Q. Other than beyond the DBRCTs that use those kind of  
13 scales, what other information did you use to form your  
14 opinions in this case, Doctor?

15 A. Well, I also looked at the Neurontin controlled clinical  
16 trial data, including the FDA review of 2002, as well as the  
17 FDA statistical review regarding antiepileptic medications and  
18 suicidality in 2008. I looked at the labelling and the FDA  
19 approval letters from 1993 and then in 2002. And then -- I've  
20 been doing this for a while, so I also base it on my  
21 professional training and my clinical experience, including  
22 prescribing Neurontin.

23 MR. HOOPER: Can we turn to slide 5?

24 Q. Doctor, would you please give the jury a summary of your  
25 opinions before we get into the details?

1 A. Sure. My opinions are that there's no reliable scientific  
2 evidence that shows that the use of Neurontin causes or worsens  
3 depression with or without suicidal ideation. And that the  
4 controlled data consistently finds no such effect. And that  
5 would include published controlled studies in the medical  
6 literature that systematically evaluated whether -- for  
7 depression via validated clinical rating instruments. And in  
8 the Neurontin placebo-controlled trial clinical data. And  
9 then, finally, the Neurontin United States Professional Package  
10 Insert explaining the available data on depression and  
11 suicidality.

12 Q. Before we turn to the studies and data, let's start with  
13 your clinical experience.

14 First, is your clinical practice specialized, focused  
15 in psychiatry?

16 A. Yes.

17 Q. Do you use Neurontin to treat some of your own patients?

18 A. Yes.

19 Q. What kinds of patients are you using Neurontin to treat?

20 A. Well, I prescribe it for people who suffer from anxiety  
21 symptoms, anxiety disorders, social phobia. I have a number of  
22 patients with bipolar disorder who also have symptoms of  
23 anxiety or social phobia. And then I've got other patients in  
24 my practice who are on Neurontin from other doctors.

25 Q. How many patients with bipolar disorders are you using

1 Neurontin to help treat, treat or help treat?

2 THE COURT: Can we just refine that? Solely with  
3 bipolar, not with the others.

4 THE WITNESS: I'm not sure I understand the question.  
5 You mean not the ones getting it from other doctors?

6 THE COURT: No. They have also social phobias and  
7 anxiety.

8 THE WITNESS: Okay.

9 A. Ten to 15.

10 Q. Doctor, about how many patients with bipolar disorders all  
11 total are you treating with Neurontin at this time?

12 A. Thirty to 40.

13 Q. And is that number fairly consistent, the numbers of  
14 bipolar patients that you've treated with Neurontin, say, over  
15 the past decade?

16 MR. GREENE: Objection, your Honor.

17 THE COURT: Overruled.

18 A. Yeah, it's stayed fairly constant.

19 Q. What portion of your bipolar patients are taking only a  
20 single medication, like a mood stabilizer like lithium or  
21 Depakote?

22 A. I'd say about half. Half are on just one medication, the  
23 other half would be on more than one.

24 Q. On multiple medications?

25 A. Yes.

1 Q. Again, are some of the patients you see for psychiatric  
2 problems also being treated by other doctors for other  
3 non-psychiatric problems?

4 A. Yes.

5 Q. And are some of those -- do you have some patients who you  
6 are seeing who are prescribed -- who come to you with a  
7 prescription for Neurontin that they got from a different  
8 doctor for a non-psychiatric problem as well?

9 A. Yes. And that -- you know, most commonly would be either  
10 from the pain clinic or from their primary care physician.  
11 It's usually for pain.

12 Q. Have you read the U.S. Professional Package Insert or  
13 labelling, the thing that appears in the Physician's Desk  
14 Reference?

15 A. Yes.

16 Q. And various times over your career you've seen that?

17 A. Yes.

18 Q. And the package insert or labelling has lots of  
19 information about warnings and precautions and adverse  
20 reactions and that sort of thing in it, correct?

21 A. Yes.

22 Q. How does your clinical experience in your own Neurontin  
23 patients match up with what you've seen in the labelling in the  
24 PDR?

25 A. It's completely consistent with it.



1 MR. HOOPER: Let's go to slide 6.

2 Q. Let's turn now to the DBRCTs with the depression rating  
3 scales that you looked at.

4 MR. HOOPER: Slide 7.

5 Q. Can you briefly explain the Guille study and its  
6 significance to your opinion about Neurontin and depression?

7 A. Okay. So this was a study actually done in Boston at  
8 Mass. General, was adjunctive treatment for refractory mania.  
9 In that study they used the Hamilton depression rating scale,  
10 which I had discussed briefly earlier, and what they found was  
11 that there was no difference on the Hamilton scores between  
12 those people who got Neurontin and those people who got  
13 placebo, which goes against any argument that Neurontin makes  
14 depression worse, because there was no difference.

15 In fact, actually, there was a suggestion at the fifth  
16 week that Neurontin actually improved depression compared to  
17 placebo, and that was statistically significant, less than .05.

18 Q. Let's get a couple of terms straight. What's adjunctive  
19 treatment?

20 A. Add-on.

21 Q. And what does "refractory" mean?

22 A. These are people who have had the usual treatments and  
23 didn't get better. Probably failure on a couple of previous  
24 treatments.

25 MR. HOOPER: Slide 8, please.

1 Q. The jury has heard about the Frye study. What patients  
2 did Dr. Frye study, if you could remind us?

3 A. Well, Mark Frye studied refractory bipolar one patients,  
4 bipolar two patients, and actually, he also included unipolar  
5 depressed people, these are people who just suffer from  
6 depression.

7 Q. Did he also use the Hamilton --

8 A. Yes, I was going to say he used the Hamilton depression  
9 rating scale. And he reported no statistically significant  
10 difference between those people who got gabapentin, Neurontin,  
11 and those people who got placebo in depression. Again,  
12 consistent with the Guille study that there's no worsening of  
13 depression with Neurontin.

14 Q. Now, let's go to the study that we've -- the three Pande  
15 studies.

16 What did -- this is the Pande 2000 gabapentin and  
17 bipolar disorder. Can you briefly remind the jury of what  
18 Dr. Pande studied and how the drug was used?

19 A. Right. So this was an adjunctive treatment, so an add-on  
20 treatment, to lithium, valproate, or in combination. Pande  
21 used the Hamilton depression rating scale to assess depression,  
22 and there was no difference between those people who received  
23 Neurontin and those people who received depression on the  
24 Hamilton scores. Again, consistent with the other studies.

25 MR. HOOPER: Slide 10.

1 Q. Let's turn to Pande social phobia study.

2 Could you briefly describe this one and how it fits  
3 with your opinion?

4 A. So this was a study of gabapentin versus placebo, again,  
5 social phobia. Again, Pande used the Hamilton depression  
6 rating scale to assess depression. And at the end of the study  
7 there was no difference in Hamilton depression scores between  
8 people who received Neurontin and people who received placebo.  
9 Again, another piece of evidence that Neurontin does not worsen  
10 depression.

11 MR. HOOPER: And slide 11.

12 Q. Let's turn on slide 11, Doctor. You'll recognize the  
13 Pande panic disorder study.

14 A. It's --

15 Q. Did this one also use the Hamilton depression rating  
16 scale?

17 A. Yes.

18 Q. Okay. And what result?

19 A. Again, a different type of anxiety disorder, but the same  
20 result: No difference in Hamilton scores between those people  
21 who received Neurontin and those people who received placebo.  
22 Again, no increase, no evidence for worsening depression in  
23 people who received Neurontin.

24 MR. HOOPER: Slide 12, please.

25 Q. Let's turn to the Vieta study, published in 2006. Was

1 this also a DBRCT?

2 A. Yes, it was.

3 Q. Did this also use the Hamilton depression rating scale on  
4 a regular basis?

5 A. Yes.

6 Q. And how long was this study?

7 A. It was a year.

8 Q. And what type of study was it?

9 A. Well, it was a prophylaxis. So, in other words, what  
10 Vieta did is they took people who were well or in remission  
11 from their bipolar disorder, and then looked at prevention of  
12 episodes over the course of a year with adding Neurontin or  
13 adding placebo.

14 Q. Is bipolar disorder a disease that over a person's life  
15 comes and goes?

16 A. Yes.

17 Q. And what were the results on the Hamilton depression  
18 rating scale?

19 A. Similar to the other studies, studies using the Hamilton  
20 depress rating score, there was no difference in Hamilton  
21 scores between those people who received Neurontin compared  
22 those who received placebo.

23 Now, this one is over the course of a year. So,  
24 again, another piece of evidence that there's no worsening of  
25 depression with Neurontin.

1 Q. All right. Let's turn to the next slide.

2 MR. HOOPER: And, your Honor, I move to admit Exhibit  
3 2004. It's a copy of a study, the first author named Mokhber,  
4 M-o-k-h-b-e-r.

5 (Exhibit 2004 received into evidence.)

6 Q. What's on the screen is a study by first author Mokhber.  
7 Are you familiar with this study?

8 A. Yes.

9 Q. Are you familiar with the institution or university that  
10 two of the authors on the Mokhber study, Carol Lane and Allan  
11 H. Young, come from?

12 A. Yes, they come from the University of British Columbia.

13 Q. The same place that Dr. Perry, Kaiser's expert, comes  
14 from; is that right?

15 A. Yeah.

16 Q. And the remaining authors are from Mashhad University  
17 Medical School in Iran; is that right?

18 A. Yes.

19 Q. And can you explain what kind of -- first, was this a  
20 DBRCT as well?

21 A. Yes.

22 Q. And what kind of -- can you explain what kind of disorder  
23 the Mokhber study looked at?

24 A. It was looking at dysphoric mania, which is a type of  
25 bipolar disorder, sometimes also referred to as mixed mania.

1 Plain English, these are people who have, during the course of  
2 a day, have both episodes of depression and mania during the  
3 course of a 24-hour period.

4 Q. What was the control in this study?

5 A. I'm not sure what you mean by control. It was -- I'm  
6 sorry. It was a comparison, and the controls were lamotrigine  
7 and carbamazepine, two what are called active controls.

8 Q. As a researcher, are you familiar with something that  
9 Dr. Kessler told us about on the second day of trial, adequate  
10 well-controlled trials?

11 A. Yes.

12 Q. That's the regulatory term that FDA uses?

13 A. Yes.

14 Q. And is an active control as well as a placebo control  
15 something that qualifies a study as an adequate well-controlled  
16 trial?

17 A. Yes. If you look at the regulations, both are considered  
18 adequate control trials. Both types.

19 Q. Is there any significance, special significance -- well,  
20 let me rephrase that.

21 Is there any particular utility to using an active  
22 control as opposed to placebo control in patients with bipolar  
23 disorder?

24 A. Yes. I mean, there's several reasons. One is, when  
25 you're dealing with a serious illness like bipolar disorder,

1 it's sometimes not practical, some would argue not ethical, to  
2 give them a sugar pill. There's other examples of that in  
3 medicine. That's one of the reasons why you might do an active  
4 control study. The other thing is, you know, if I go back to  
5 work this afternoon, I mean, doctors -- it's nice for them to  
6 know that a drug is better than a sugar pill, but they'll say  
7 to you, I'm not prescribing sugar pills. Tell me if it's  
8 better than that other thing. That's what this kind of study  
9 does.

10 Q. Did the Mokhber authors address depression in their study  
11 with a rating instrument as well?

12 A. Yes.

13 Q. And how did they assess depression?

14 A. They used the MMPI.

15 Q. Can you explain to the jury what the MMPI II is?

16 A. The MMPI is the Minnesota Multiphasic -- I can't remember  
17 what the I -- Inventory. It's been around for a long time,  
18 it's well standardized. It's well -- if you look in the  
19 literature search, a lot of people use it for depression  
20 studies. It's a validated scale.

21 Q. They're using it for the same purpose the other studies  
22 were using the Ham-D scale for?

23 A. Yes. I mean, the reason they use it, I think, is because  
24 the MMPI has a more cross-cultural sensitivity. They were  
25 doing the study in Iran.

1 Q. The right scale for this locale?

2 A. Yes, it's a validated scale.

3 Q. And can you explain the depression results in the Mokhber  
4 study and how they relate to your opinions in this case?

5 A. What they found was -- they found that all three medicines  
6 worked. What they found was that Neurontin, gabapentin, showed  
7 the greatest change in depressive symptoms compared to  
8 lamotrigine and also compared to carbamazepine. And that was  
9 statistically significant in that randomized control,  
10 double-blind study.

11 Q. Let's turn now to -- from the individual studies that you  
12 looked at, the ones that assess depression using these scales,  
13 to the larger bodies of control data that you told us about.

14 (Discussion off the record.)

15 MR. HOOPER: I'm going to move to admit Exhibit 642.

16 THE CLERK: Got it.

17 MR. HOOPER: This is the 2002 FDA clinical review  
18 data.

19 (Exhibit 642 received into evidence.)

20 BY MR. HOOPER:

21 Q. Doctor, were you here when we were discussing this  
22 document with Dr. Furberg?

23 A. Yes, I was.

24 Q. And, Doctor, if I could ask you to turn to page 77, table  
25 7.20 from the FDA's 2002 clinical review of Neurontin -- of the



1 Neurontin data. Could you just explain -- remind the jury of  
2 what this table shows?

3 A. Well, it's a long list, a table of treatment emergent side  
4 effects or AEs, adverse events, in patients in the neuropathy  
5 and epilepsy add-on studies. And depression is one of the  
6 things that, obviously, we've been talking about.

7 Q. And the numbers on the left for all neuropathy studies,  
8 1.3, is that 1.3 percent?

9 A. Yes.

10 Q. And then for just the PHN studies alone, it's 0.6 percent?

11 A. Yes.

12 Q. And then for the neuropathy trials on placebo, that's 2.2  
13 percent; is that right?

14 A. Yes.

15 Q. And then when you get over to the two right columns, now  
16 we're in the epilepsy trials with 1.8 percent and 1.1 percent;  
17 is that right?

18 A. Yes.

19 MR. HOOPER: And then go to slide 16.

20 Q. Can you explain, Doctor, what this chart shows and how it  
21 relates to your opinions?

22 A. Well, I just have the epilepsy -- the epilepsy studies,  
23 the neuropathy studies, and then I combine them, weighting them  
24 for the number of patients together. You can see that combined  
25 there's really no difference. 1.5 percent rate on Neurontin,

1 1.7 percent rate on placebo.

2 Q. Are those -- are there any statistically significant  
3 differences in those numbers at all?

4 A. No, actually none of them are.

5 Q. And did you hear Dr. Furberg say the left-most two  
6 numbers, 1.8 and 1.1, were signalling a 64 percent increase in  
7 depression on Neurontin?

8 A. I heard that.

9 Q. What was your reaction to that?

10 A. Well, the point is, is that it's not statistically  
11 significant. I mean, that would be like arguing over here the  
12 2.2 versus 1.3 percent in neuropathy studies is meaningful; it  
13 is not, it is not statistically meaningful. There is no  
14 difference in the rates of depression between placebo and  
15 Neurontin in any of these three bar graphs.

16 MR. HOOPER: Your Honor, this refers to the FDA's  
17 statistical analysis and review from two years ago, 2008 pooled  
18 analysis, which is already in evidence as Plaintiff's 401.

19 Q. Doctor, do you recall in your report at page 18 you have a  
20 paragraph or two where you discuss a report that was issued by  
21 FDA 2008 describing a pooled analysis of suicidality data,  
22 suicide ideation, attempts or acts, for 11 different AEDs, one  
23 of which was gabapentin?

24 A. Yes.

25 Q. First, what is a pooled analysis?

1 A. Well, it's a grouping. Taking, in this case, 11 different  
2 medications and analyzing them as a pool, as a group.

3 Q. Do you come up with an overall result for the whole pool  
4 in a pooled analysis? Is that --

5 A. That's right. It's an overall result of the entire group.

6 Q. And do the results of a pooled analysis prove that every  
7 medication in the pool has the same effect that is the overall  
8 result?

9 A. No. I mean, this is like -- this is like asking what the  
10 batting average of Kevin Youkillis is and then going to the  
11 entire Boston Red Sox team average and saying that tells you  
12 what Youkillis' batting average is.

13 I mean, Youkillis, actually, last year hit over .300.  
14 The Red Sox batting average was .270. If you wanted to know  
15 what Kevin Youkillis' batting average was, you'd look up Kevin  
16 Youkillis.

17 Consequently here, if you want to know what the rate  
18 is on Neurontin, you look at Neurontin.

19 (Discussion off the record.)

20 Q. I've just enlarged -- or this chart shows the enlargement  
21 from the preceding page.

22 Can you explain to the jury what the gabapentin data  
23 means on this chart?

24 A. A couple of things I would point out. First of all, the  
25 number of events on Neurontin is very low. It's two out of

1 2,900 something. The other thing is it's not statistically  
2 significant. I mean, the confidence -- the 95 percent  
3 confidence interval crosses one, and therefore, it is not  
4 statistically significant.

5 Q. If you look at the blue bars, is that indicated in any way  
6 by the blue bar crossing the --

7 A. That's just a picture way of saying the same thing. So  
8 here's one down the middle, and you can see that the Neurontin  
9 gabapentin line crosses through one.

10 MR. HOOPER: Slide 19, please.

11 Q. And, Doctor, you brought this chart with two other AEDs,  
12 lamotrigine and topiramate, highlight from the same chart we  
13 just looked at. Why are they highlighted?

14 A. Well, they're highlighted because these two are  
15 statistically significant. You can see that the blue lines  
16 don't cross one. The confidence interval for both lamotrigine  
17 and topiramate, their confidence interval does not include one.

18 You can also see the number of events, there's 27  
19 events on lamotrigine, 40 events on the topiramate. If you  
20 think -- put this back into my Boston Red Sox analogy, the  
21 batting average of the Boston Red Sox is .270, but it's driven,  
22 not by Jason Varitek, who was hitting, I don't know, .200 or  
23 something; it's driven by Youkellis, Pedoria, and Ellsbury.

24 This statistical finding that the FDA did is driven by  
25 two drugs, lamotrigine and topiramate, and it's statistically

1 significant for those two.

2 (Discussion off the record.)

3 Q. Bottom line, Doctor, what is your opinion as to whether  
4 there is a relationship between Neurontin and increased risk of  
5 depression and suicidality?

6 A. It's my opinion that there's no reliable scientific  
7 evidence that shows that the use of Neurontin causes or worsens  
8 depression with or without suicidal ideation, and that the  
9 controlled data consistently finds no such effect. This would  
10 include the published controlled studies that used standardized  
11 rating instruments to evaluate depression. It includes the  
12 Neurontin placebo-controlled clinical trial data, and it's also  
13 my opinion that the Neurontin package insert explains the  
14 available data on depression and suicidality.

15 Q. And, Doctor, do you hold those opinions to a reasonable  
16 degree of scientific certainty?

17 A. I do.

18 MR. HOOPER: Pass the witness.

19 CROSS-EXAMINATION

20 BY MR. GREENE:

21 Q. Good morning, Doctor.

22 A. Good morning.

23 Q. We haven't met before, have we?

24 A. No.

25 Q. I just want to ask you a couple of questions.

1 I saw a slide up there about your assignment. You  
2 weren't asked to review any of Pfizer's marketing materials to  
3 see or determine or give an opinion to Pfizer whether they had  
4 told the truth about Neurontin in the marketing materials.  
5 That's not what you are here for today, correct?

6 A. I was not asked to review marketing materials.

7 Q. That's a subject we have to get another witness to testify  
8 about if we wanted to ask questions about Pfizer's marketing  
9 materials, correct?

10 A. I'm not sure I understand the question.

11 Q. Well, you're not here to talk about what Pfizer said in  
12 their marketing materials to the medical community, are you?

13 A. I am a member of the medical community.

14 Q. Will you listen to my question, Doctor?

15 You're not here to testify --

16 MR. HOOPER: Objection.

17 THE COURT: Yes, yes. You need to answer his  
18 questions, so listen carefully.

19 BY MR. GREENE:

20 Q. You're not here to testify about what Pfizer said in their  
21 marketing materials to the medical community, are you?

22 A. No.

23 Q. You're Pfizer's expert in another part of the Neurontin  
24 litigation, aren't you?

25 A. Yes.

1 Q. You've been an expert for drug companies on the suicide  
2 issue, a number of different drug companies, haven't you?

3 A. Yes.

4 Q. You've testified in a number of different cases on behalf  
5 of drug companies on this suicide issue, haven't you?

6 A. Yes.

7 Q. You've taken a lot of money from drug companies, haven't  
8 you, to give testimony on the suicide issue; isn't that right?

9 A. Define "a lot," please.

10 Q. Why don't you tell the jury how much have you been paid by  
11 Pfizer for all your work here on Neurontin.

12 A. Off the top of my head I can only tell you about this  
13 particular case, it's probably about 50 hours.

14 Q. When you say this case, the case you're testifying here  
15 today?

16 A. Yes.

17 Q. All right. But the bulk of your work has been in the  
18 Neurontin suicide cases. That's where Pfizer has paid you,  
19 correct?

20 MR. HOOPER: Objection, your Honor.

21 THE COURT: As you know, this is what's called  
22 multidistrict litigation. You may have wondered why is this  
23 here? So they went with the first drawn case and they brought  
24 all the cases into this district. So there are lots of cases,  
25 and so I think what he's asking about is some of the other

1 cases.

2 So did you -- were you an expert in some of the other  
3 cases?

4 THE WITNESS: Yes, I was.

5 BY MR. GREENE:

6 Q. That's what I want to ask. Will you tell the jury how  
7 much you've been paid in that Neurontin litigation by Pfizer?

8 A. I don't know off the top of my head, but I can probably  
9 give you an estimate. It was a case that started with a B  
10 that --

11 Q. Just looking for an estimate. Can you just give us a  
12 ballpark figure? Is it more than \$100,000? That's all I want  
13 to know.

14 A. I'd say over the past couple of years it's probably around  
15 that.

16 Q. For all the Neurontin suicide litigation that you've given  
17 opinions in it's about a hundred thousand?

18 MR. HOOPER: Objection, asked and answered.

19 BY MR. GREENE:

20 Q. Is that what your sworn testimony is?

21 A. That's my estimate, yeah.

22 Q. You're a member of Pfizer's speaker bureau, aren't you?

23 A. Not anymore.

24 Q. How long were you a member of Pfizer's speaker bureau?

25 A. I spoke --



1 Q. How long were you, not how many times, just how long? How  
2 many years?

3 A. That's what I'm trying to answer. I spoke about Zoloft,  
4 so that would have been -- came out around 1992, probably until  
5 about 2000, 2001, somewhere -- ended around there, I think.

6 Q. Fair amount of your income depends upon money you receive  
7 from drug companies; is that correct?

8 A. No, that's not correct.

9 Q. Have you taken grants from Takeda?

10 A. I did a clinical trial for Takeda, yes.

11 Q. Took a grant from the Takeda company, correct?

12 A. Yes.

13 THE COURT: What is that?

14 BY MR. GREENE:

15 Q. Is that a drug company?

16 A. Yes.

17 THE COURT: Is it a generic, a brand?

18 THE WITNESS: It's a Japanese company.

19 BY MR. GREENE:

20 Q. Took grants from Cyberonics?

21 A. Yes.

22 Q. Took drug grants from Merck?

23 A. Just to clarify --

24 Q. Just answer my question.

25 A. Then I'm going to answer no, because the grants don't --

1 Q. That's fine --

2 A. -- don't go to me, they go to the university.

3 THE COURT: I think that is a worthwhile distinction.

4 So on behalf of the University of Massachusetts, is  
5 that --

6 THE WITNESS: The money goes to the University of  
7 Massachusetts Medical School, and then that supports the  
8 research.

9 BY MR. GREENE:

10 Q. Correct. The research that you're conducting; isn't that  
11 right?

12 A. Yes.

13 Q. You and your colleagues and your department?

14 A. My department and other departments, sometimes it's a  
15 collaboration.

16 Q. And my point is that you and your department depend on  
17 that grant money from the drug companies, don't you?

18 A. I don't think that's fair to say. I mean, it's a small  
19 fraction of my salary support, for example, comes from  
20 pharmaceutical companies.

21 Q. I didn't ask about your salary. I'm asking about grants  
22 that you get for your department. You've got the grants from  
23 Merck, Lilly, Forest, AstraZeneca, Bristol-Meyers, and Pfizer;  
24 isn't that correct?

25 A. It sounds like you're reading from a disclosure in the

1 Archives of General Psychiatry, which requires me to go back  
2 ten years. Those include all the grants in the last ten years.

3 The current funding is from Cyberonics, and that's it.

4 Q. Doctor, I'm reading a list you gave in a sworn deposition.  
5 That's where I got those answers.

6 A. It's correct, but it goes back over a long period of time.

7 Q. You say you have a pretty extensive resume' here. You  
8 authored a hundred about -- about a hundred articles?

9 A. Yes.

10 Q. Did you write those articles?

11 A. I either wrote the entire article in some cases, or  
12 significant portions of them, yes.

13 Q. You didn't just put your name on the article, did you?

14 A. No.

15 Q. The article wasn't ghost written by a medical marketing  
16 company?

17 A. No.

18 Q. You know what ghostwriting is, don't you?

19 A. Yes.

20 Q. You were sitting here last week when Dr. Glanzman's  
21 videotaped deposition was played?

22 A. No.

23 Q. You did come and hear Dr. Furberg, correct?

24 A. Yes.

25 Q. Have you conducted clinical trials?

1 A. Yes.

2 Q. And you recognize his book as one of the leading books in  
3 the world on clinical trials, don't you?

4 A. I mean, I heard that testimony. I'm not familiar with it.

5 Q. You're not familiar with his book?

6 A. No.

7 Q. You heard him testify that he had authored 400  
8 peer-reviewed papers?

9 A. Yes.

10 Q. You've never given any testimony against a drug company in  
11 a civil lawsuit, have you, Doctor?

12 A. That's not correct.

13 Q. You have? You've testified on behalf of a plaintiff  
14 that's suing a drug company?

15 A. No. These were two drug companies suing each other.

16 Q. You'd agree with me that the way you test a drug to see if  
17 it's effective is you conduct a randomized, controlled,  
18 double-blind study of the pharmaceutical agent versus a sugar  
19 pill, to use your words; is that correct?

20 A. That's right.

21 Q. And if you wanted to show that a medication was effective,  
22 say Neurontin, that's what you'd have to do, a randomized,  
23 double-blind, placebo-controlled study, to use your words,  
24 correct?

25 A. That was done with Neurontin.

1 Q. Pardon me?

2 A. That was done. If you look at the Mokhber study or the  
3 Vieta study --

4 Q. We're going to get to those. I'm just asking if that's  
5 your testimony, your testimony under oath.

6 A. Yes.

7 Q. You were here when Dr. Furberg was talking about the  
8 combined medical statistical review that the FDA had conducted  
9 back in the 1992, 1993 time period when they were looking at  
10 the epilepsy clinical trials, right?

11 A. Yes.

12 Q. You heard his testimony about that?

13 That's the subject I'd like to turn to.

14 MR. GREENE: Can we get Exhibit 207?

15 Let me give you a copy of that exhibit, Doctor, 207.

16 (Discussion off the record.)

17 Q. Can you turn to page 22?

18 This is the medical statistical review that the FDA  
19 conducted looking at the epilepsy trials for adjunctive  
20 treatment of refractory partial epilepsy, correct, Doctor?

21 A. Yes.

22 Q. It's signed in May and June 1993 by five FDA officials?

23 A. Maybe I'm on the wrong page. Did you say page 22?

24 Q. The first page, directing your attention.

25 A. Oh.

1 Q. I think we have it up on our screen.

2 A. Okay.

3 Q. Does that help you?

4 A. Yes. You started talking about signatures, I was looking  
5 for signatures.

6 Q. Well, we can turn to page 1 -- see on your screen there,  
7 there's the signature page?

8 A. Right.

9 Q. This was part of what Dr. Furberg testified about last  
10 week, correct?

11 A. Yes.

12 Q. And the FDA prepared this document, didn't they?

13 A. Yes.

14 Q. Now, could I have you turn to page 31? Excuse me. In  
15 your text, 114. Under the heading "Depression, suicidal  
16 ideation, suicide attempt." Do you see that?

17 A. Yes.

18 Q. This is what the FDA wrote when they did this review,  
19 follow along with me, Doctor: "In the total exposed population  
20 of the NDA" -- that's the New Drug Act application -- "78  
21 (5.3%) patients reported depression as an adverse event."

22 Are you following me?

23 A. Yes.

24 Q. That's what the FDA wrote, correct?

25 A. Yes.

1 Q. "This included one subject in a phase one study. There  
2 were several reports of depression as serious adverse events in  
3 nine patients who withdrew from studies because of depression."

4 Correct?

5 A. Yes.

6 Q. I want to turn your attention now to the next paragraph.

7 "There is some underrepresentation in certain  
8 categories. For example, in some cases depression was reported  
9 as a serious adverse event, particularly if it resulted in  
10 hospitalization or was associated with suicide ideation."

11 That's what the FDA wrote, correct?

12 A. Yes.

13 Q. "However, numerous examples were identified among the  
14 CRFs" -- case report forms?

15 A. Yes.

16 Q. -- "where a patient developed treatment emergent  
17 depression where pharmacological intervention was required and  
18 the report of a serious adverse event was not made."

19 That's what the FDA wrote back in 1992, '93 time  
20 period, correct?

21 A. Yes.

22 Q. I direct your attention to page 116. "Summary conclusions  
23 and recommendations," if we can get that up.

24 Do you have that in front of you, Doctor?

25 A. Yes.

1 Q. "As of the data cutoff date of September 30, 1992, data  
2 from clinical trials involving 2,048 subjects indicate that  
3 gabapentin has a safety profile that is generally good but  
4 about which there remain several important concerns."

5 Is that what the FDA wrote?

6 A. Yes.

7 Q. All right. Let's turn to the next page, 117, the third  
8 paragraph down, the sentence that begins with "Less common."

9 Are you there, Doctor?

10 A. Yes.

11 Q. "Less common but more serious events may limit the drug's  
12 widespread usefulness."

13 Do you see that?

14 A. Yes.

15 Q. All right. The FDA was being asked to approve this drug  
16 for adjunctive therapy in treating certain types of seizure,  
17 correct?

18 A. Yes.

19 Q. They weren't being asked to approve it for bipolar  
20 disorder or pain or migraine, correct?

21 A. I believe that's correct, yeah.

22 Q. The FDA in that paragraph goes on to mention some of the  
23 concerns, don't they?

24 A. Yes.

25 Q. All right. Let's drop down to the third concern they



1 mention. I think it's the fourth sentence in the paragraph.

2 Do you see that? It says, "A third is that depression" -- do  
3 you see that sentence?

4 A. Yes.

5 Q. Following along with me now. "A third is that depression,  
6 while it may not be an infrequent occurrence in the epileptic  
7 population, may become worse and require intervention or lead  
8 to suicide, as it has resulted in some suicidal attempts."

9 Again, that's what the FDA wrote in 1992 and 1993,  
10 correct?

11 A. Yes, in 1992 and 1993.

12 Q. Now turn to the last page there, the one with the  
13 signatures. Do you have that there, Doctor?

14 A. Yes.

15 Q. They write their recommendations there, right? "In  
16 conclusion NDA" -- new drug applications -- "20-235 is  
17 approvable with appropriate and prominent labelling for use in  
18 a specific population."

19 Is that what the FDA wrote?

20 A. That's what they wrote.

21 Q. And again, the specific population is referring to  
22 epilepsy patients -- actually, adult epilepsy patients but for  
23 only adjunctive therapy, in combination with another  
24 antiseizure drug; is that correct?

25 A. Yes.

1 Q. That's what our FDA said back in 1992 and 1993 time  
2 period, right?

3 A. Yes.

4 (Discussion off the record.)

5 Q. Now, I want to direct your attention to 1158. You've seen  
6 this before, haven't you, Doctor?

7 A. Yes.

8 Q. This is authored by the defendant's employees, correct?

9 A. It was authored by Parke-Davis employees.

10 Q. Well, you know Atul Pande, right? He was an employee of  
11 the defendants?

12 A. I've never met him but I know him, yes.

13 Q. And Dimond. Disclosed right here, it says, "CNS clinical  
14 research and development, Parke-Davis Pharmaceutical Research,  
15 a Division of Warner-Lambert Company," right?

16 A. Yes.

17 Q. And it's dated February 1996, right?

18 A. Yes.

19 Q. And the defendant's employees entitled this journal  
20 article, "Effect of Gabapentin Neurontin on Mood and Well-Being  
21 in Patients With Epilepsy," right?

22 A. Yes.

23 Q. And the abstract is the summary of the article, right?

24 A. Usually is, yes.

25 Q. Let's just look at the abstract. They were looking at the

1 same data that the FDA was summarizing in the medical  
2 statistical review that we just reviewed with the jury, right?

3 A. Yeah -- yes.

4 Q. Let's see what the defendant's employee said here.

5 Number one, did they write: "Global improvement data  
6 from five double-blind clinical trials of gabapentin as add-on  
7 therapy in patients with epilepsy were reviewed to assess the  
8 effects of gabapentin on mood."

9 Is that what they wrote?

10 A. Yes.

11 Q. "Number 2. One hundred ninety four (46 percent) of 423  
12 gabapentin treated patients reported improvements in general  
13 well-being as compared with 79 (29 percent) of the 271  
14 placebo-treated patients."

15 Did they write that?

16 A. Yes.

17 Q. And finally, "Finding support anecdotal reports of  
18 improved affective status among patients taking gabapentin and  
19 suggest that the study of gabapentin in psychiatric populations  
20 may be warranted."

21 Right.

22 A. Yes.

23 Q. And you've reviewed that article, correct?

24 A. Yes.

25 Q. And nowhere in that abstract do they disclose what the FDA

1 had pointed out with regard to the increased risk of depression  
2 that we just reviewed with the jury?

3 A. Well --

4 Q. It's not in the abstract, is it?

5 A. I just went through in my direct testimony all the studies  
6 to show there is no increased risk of depression.

7 Q. Doctor, can you just listen. I know you're paid by  
8 Pfizer, just listen to my question.

9 MR. HOOPER: Objection.

10 THE COURT: Sustained. Just rephrase it.

11 BY MR. GREENE:

12 Q. Just listen to my question.

13 When these defendant's employees wrote up in this  
14 abstract those three points, did they disclose what the FDA had  
15 pointed out that we -- you and I just reviewed with the jury?  
16 Is it in those three paragraphs?

17 A. No.

18 Q. You'd agree that a drug company should be truthful when  
19 they're writing to the medical community about their product,  
20 wouldn't you?

21 A. Look, you make it sound like --

22 THE COURT: Yes or no.

23 A. Repeat the question, please.

24 Q. You'd agree that a drug company, Pfizer, should tell the  
25 medical community the truth about their products?

1 A. Yes.

2 Q. You'd agree that Pfizer should tell the medical community  
3 the truth about Neurontin?

4 A. Yes.

5 Q. You'd agree that Pfizer, if they've gotten negative study  
6 results, should disclose them to the medical community,  
7 shouldn't they?

8 A. If they can get it published, sure.

9 Q. And if they can't get them published, is it your testimony  
10 that they have no obligation to disclose a negative finding to  
11 the medical community?

12 A. Well, it's very --

13 Q. Can you answer that yes or no?

14 A. No --

15 Q. Can you answer my question yes or no?

16 A. Please repeat the question.

17 Q. Is it your testimony that if Pfizer has negative findings  
18 about Neurontin and they can't get it published, then they  
19 don't have to disclose those negative findings to the medical  
20 community?

21 A. As a member of the medical community --

22 Q. Can you answer that question yes or no?

23 MR. HOOPER: He's trying to answer it.

24 THE COURT: Yes. What's your answer?

25 A. As a member of the medical community it's important to

1 have as much information as possible.

2 Q. Look, you treat patients?

3 A. Yes, I do.

4 Q. Don't you want the drug companies to tell you the truth  
5 about the drug products so that you can treat your patients?

6 MR. HOOPER: Objection.

7 A. I want everyone to tell me the truth, but, you know --

8 Q. Can you answer my question? My question was limited to  
9 drug companies.

10 Don't you want Pfizer to tell you the truth about  
11 Neurontin when you're going to prescribe it for your patients?

12 A. I do prescribe it.

13 MR. HOOPER: Objection, your Honor.

14 THE COURT: No -- right, so -- -- you're still  
15 objecting?

16 MR. HOOPER: I do.

17 THE COURT: Overruled.

18 Do you want Pfizer to tell you the truth when you're  
19 prescribing to your patients?

20 THE WITNESS: Yes.

21 THE COURT: All right.

22 BY MR. GREENE:

23 Q. And if Pfizer withheld negative data from you concerning  
24 their drug, Neurontin, that could impact the treatment decision  
25 you make in prescribing for your patient?

1 A. I'm not sure I understand what negative data you're  
2 referring to.

3 Q. You didn't look at any of the Pfizer and Parke-Davis  
4 neuropathic pain studies, did you?

5 A. I'm a psychiatrist. I don't prescribe if for neuropathic  
6 pain.

7 Q. I didn't ask you that. Did Pfizer give you any of the  
8 neuropathic pain studies?

9 A. No.

10 Q. Did they give you any of the negative nociceptive pain  
11 studies?

12 THE COURT: Excuse me.

13 MR. GREENE: I'll move on.

14 BY MR. GREENE:

15 Q. Now, I think one of the points you were trying to make to  
16 the jury with those slides that were shown concerning the  
17 depressive effects of Neurontin compared to placebo is that  
18 there isn't any difference; is that right?

19 A. There's no difference -- there's no worsening of  
20 depression in -- with treatment with Neurontin.

21 Q. Okay. So I think your point is in looking at those  
22 studies that for patients that received Neurontin didn't get  
23 any more depressed than the patients that received placebo; is  
24 that right?

25 A. Yes, although in the Vieta and the --

1 Q. Can you just --

2 A. -- there was additional benefit.

3 Q. Can you answer my question?

4 A. I thought I just did.

5 Q. The patients didn't get any worse in terms of depressive  
6 effects?

7 MR. HOOPER: Your Honor, can he answer the question?  
8 He's stepping on his answer every time.

9 THE COURT: Well --

10 MR. GREENE: Well, let me try a new question. I'll  
11 withdraw that.

12 BY MR. GREENE:

13 Q. Let's take Exhibit 207, please. Excuse me, I said 207, I  
14 meant to say Exhibit 401.

15 A. Is that something I have here?

16 Q. I think you should have it in your binder, Doctor. 401?

17 A. Yeah, I have it.

18 Q. You've seen this exhibit before, correct?

19 A. Yes.

20 Q. In fact, you talked a little bit about it on your direct  
21 examination, right?

22 A. Yes.

23 Q. Now, this is dated -- Statistical Review and Evaluation  
24 Antiepileptic Drugs and Suicidality dated May 23, 2008,  
25 correct?



1 A. Yes.

2 Q. This is our Food and Drug Administration, once again,  
3 issuing a report on the antiepileptic -- excuse me, on 11  
4 antiepileptic drugs, correct?

5 A. Yes.

6 Q. And Neurontin or gabapentin was one of them, correct?

7 A. Yes.

8 Q. We heard your interpretation of this, but now I want to  
9 ask you about what the FDA says.

10 So will you turn to the executive summary, page 5?

11 The overview. Do you have that?

12 Follow along with me, Doctor.

13 "The U.S. Food and Drug Administration (FDA) concerned  
14 about the potential for elevated risk of suicidality (suicidal  
15 behavior or ideation) from the use of antiepileptic drugs  
16 carried out a meta analysis of 11 drugs."

17 Is that what it says?

18 A. Yes.

19 Q. Let's drop down to findings. I just want to read this  
20 first sentence here. Follow along, Doctor.

21 "There are 199 placebo-controlled trials consisting of  
22 27,863 patients in drug arms and 16,029 patients in placebo  
23 arms from 11 drugs that formed the primary analysis  
24 population."

25 That's what it says, right?

1 A. That's what it says.

2 Q. So they were taking all the drugs and all the trials and  
3 doing a meta analysis of that --

4 A. A pooled analysis.

5 Q. -- of that data?

6 A. A pooled analysis of all 11 drugs, yes.

7 Q. Let's turn to what the FDA concluded, which is page 6,  
8 lower right-hand corner.

9 You see the paragraph 1.3, "Conclusions"? You got  
10 that paragraph?

11 A. Yes, I do.

12 Q. Okay. And again, this is our FDA on May 23, 2008 issued  
13 this conclusion: "In conclusion, antiepileptic drugs are  
14 associated with increased risk of suicidality relative to  
15 placebo in randomized placebo-controlled trials. The effect  
16 appears consistent among the group of 11 drugs."

17 Isn't that what our FDA found in May 2008?

18 A. That's what they wrote.

19 Q. Thank you, Doctor. That's all I have.

20 THE COURT: Anything?

21 (Discussion off the record.)

22 MR. HOOPER: I think we're finished.

23 THE COURT: Thank you. Good, we've finished. See you  
24 tomorrow. If we're not all in an arc.

25 THE CLERK: All rise for the jury.

1 (Jury left the courtroom.)

2 (Discussion off the record.)

3 THE COURT: I do want this on the record.

4 (At sidebar on the record.)

5 THE COURT: I do want to come up with a protocol on  
6 how to get this to her.

7 So we need to get this woman a transcript of today's  
8 proceedings, but it will be incomprehensible unless she also  
9 has the chalks. So I thought what I might do is actually bring  
10 her in -- assuming she comes in tomorrow -- and hand her a  
11 packet, but I -- because she'll have two days to read it. But  
12 in general, of course, we don't have the chalks go back to the  
13 jury, so my theory would be is I have her hand them back to me  
14 Friday morning so that -- and ask her not to display the chalks  
15 to the jurors so that there's no undue emphasis on either  
16 side's argument.

17 It reminded me of the more general issue in that I'm  
18 not sure you've all been marking your chalks, and for the 1st  
19 Circuit, if it ever gets there -- or the Supreme Court, one  
20 would think that one should have a complete set of the chalks  
21 that each witness is relying on. So just marking them for  
22 identification, I think, both sides. And is it possible to at  
23 least talk about which witness they came in from? We haven't  
24 been doing this from the beginning, and I think that's my fault  
25 for not insisting on it.

1 Do you have a complete set of chalks?

2 MR. SOBOL: Yes.

3 THE COURT: And do you?

4 MS. ARMSTRONG: I'm sure we do. Most of it has been  
5 centralized.

6 THE COURT: Maybe we'll do that before the end of the  
7 trial, which is actually coming up.

8 So I got the -- we finished this witness, and I think  
9 we should probably just finish Glanzman tomorrow so we can  
10 officially rest here.

11 Although I've already received the memos, I'll expect  
12 your memos at least by Thursday at 5:00. Does that make sense?

13 MR. SOBOL: Thank you, your Honor.

14 THE COURT: And then we'll argue it on Friday  
15 afternoon, although I'm not sure I will have had time to read  
16 the plaintiff's by then. So we'll just go with that.

17 What about your next witnesses?

18 MR. CHEFFO: It's Dr. Brenner. We are probably, in  
19 light of scheduling and everything, I think we're going to need  
20 those depositions to use tomorrow. So we may only have  
21 Dr. Brenner tomorrow.

22 THE COURT: I prefer that than having that, because I  
23 can't call him back the next day. I think that's a great way  
24 to go.

25 MR. CHEFFO: We can even come back this afternoon -- I

1 don't know what your schedule is -- because we can then cut --  
2 what we handed you today is, I think, about an hour and a  
3 half --

4 THE COURT: Have you conferred on the objections?

5 MR. CHEFFO: Well, we sent them, I think --

6 MR. SOBOL: No.

7 MR. CHEFFO: We sent them back and forth, but I think  
8 at this point --

9 THE COURT: How many are there? Are there hundreds?

10 MR. CHEFFO: Total, I think it's only about an hour  
11 and a half of testimony.

12 THE COURT: I don't know if I can -- I'm sorry, I'll  
13 try and do it this afternoon.

14 MR. CHEFFO: Whatever you can get through, your Honor,  
15 will help. But that will --

16 THE COURT: What does Brenner talk about?

17 MR. CHEFFO: Brenner is a pain --

18 THE COURT: A pain specialist.

19 MR. CHEFFO: Pain specialist.

20 MR. HOOPER: He's Harvard.

21 THE COURT: Can he consult here?

22 MR. CHEFFO: We have the perfect product for him.

23 MR. HOOPER: I have the solution for Mr. Sobol.

24 MR. CHEFFO: The only thing, on the record, I don't  
25 know what your Honor's view, notwithstanding the rulings

1 limiting Rothschild, I think they asked a number of questions  
2 which went well beyond and went -- I believe, went into various  
3 areas.

4 THE COURT: There was one I have to agree started  
5 merging there. I leaned over, and he stopped.

6 MR. CHEFFO: Even earlier he asked him about the Vieta  
7 and the study, he asked him about efficacy, and then he cut him  
8 off and said you weren't shown anything.

9 THE COURT: I cut him off.

10 MR. GREENE: I never asked about the other.

11 THE COURT: I cut him off in the one area I would  
12 completely agree with you we were moving that way.

13 In any event, good. See you tomorrow.

14 And just off the record.

15 (Discussion off the record.)

16 (Court adjourned at 1:10 p.m.)

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CERTIFICATION

We certify that the foregoing is a correct transcript  
of the record of proceedings in the above-entitled matter to  
the best of our skill and ability.

/s/Debra M. Joyce  
Debra M. Joyce, RMR, CRR  
Official Court Reporter

March 15, 2010  
Date

/s/Lee A. Marzilli  
Lee A. Marzilli, RPR, CRR  
Official Court Reporter

March 15, 2010  
Date